

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 501.37841X00

First Inventor or Application Identifier Itaru NISHIZAWA

Title	See 1 in Addendum
-------	-------------------

Express Mail Label No

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 69]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 13]
4. Oath or Declaration [Total Pages 4]
- a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d)(2) and 1.33(b))  
(for continuation/divisional with Box 16 completed)
  - c. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b)

5. ☐ Microfiche Computer Program (*Appendix*)
6. Nucleotide and/or Amino Acid Sequence Submission  
(*if applicable, all necessary*)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ \* Small Entity Statement(s) ☐ Statement filed in prior application  
(PTO/SB/09-12) Status still proper and desired
14. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Other. ....

\* NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

- 16 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

- ☒ Customer Number or Bar Code Label 020457 or ☐ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name \_\_\_\_\_

Address

City

Country

State

Zip Code

Fax

Name (Print/Type)

Carl I. Brundidge

Registration No. (Attorney/Agent)

29.621

Signature \_\_\_\_\_

Date \_\_\_\_\_

11/05/99

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.** SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

## Addendum

1. DATA WAREHOUSE SYSTEM AND QUERY PROCESSING METHOD USED IN THE SYSTEM AND DATA COLLECTING METHOD AND APPARATUS FOR THE METHOD AND CHARGING METHOD AND APPARATUS IN THE SYSTEM

## Addendum

Attachment 1

1,014.00

## Specification

### Title of the Invention:

DATA WAREHOUSE SYSTEM AND QUERY PROCESSING METHOD  
USED IN THE SYSTEM, AND DATA COLLECTING METHOD AND  
APPARATUS FOR THE METHOD, AND CHARGING METHOD AND  
APPARATUS IN THE SYSTEM

### Background of the Invention

#### Field of the Invention

The present invention relates to a data warehouse system used in a distributed network computing environment (hereafter, to be referred to as a distributed environment) and a method for processing queries in the system, as well as a method and an apparatus for collecting data for the query processings, and further a method and an apparatus for charging each of the queries.

#### Prior Art

Now that lower cost computing systems have become widespread, highly reliable software programs have been developed for those systems, and more efficient social systems have been demanded so as to cope with such systems and programs, various types of information are used on-line. For example, various business data including sales information of shops, products management information, and customers' information have come to be processed by

computers in company activities. Recently, in order to meet the demand that such the data handled in computers and used in the core operations in companies should also be used effectively for other purposes, for example, for sales trend researches of respective products, analysis of customers' interests, etc., the use of data warehouse systems has become very popular. How to compose and use such a data warehouse is described in, for example, "Building the Data Warehouse Second Edition" written by W.H. Inmon, John Wiley & Sons, Inc., ISBNo-471-14161-5, second chapter (prior art 1). A data warehouse, as the name represents its nature, is used for storing and managing a mass of data for core operations in companies. Such the data warehouses are coming into widespread more and more.

In recent years, it has come to be understood that new useful information, which has been neglected so far, is available from the data accumulated and managed in such data warehouses by analyzing the data from various new points of view. Analyzed sales data in a super-market revealed the relationship between two commodities that have been seemed to have no relationship so far, for example, "Not small number of men on the way to their home after work tends to buy some diapers together with their canned/bottled beers on weekends". Based on this information, putting diapers near canned/bottled beers significantly increased the sales

of those items than ever. Such a method for finding useful information from data that has been neglected so far such way is referred to as data mining.

Along with the widespread of computers, the progress of network techniques represented by the Internet is also remarkable. One of such network techniques is described in, for example, "Client/Server Programming with JAVA and CORBA Second Edition" written by Robert Orfali and Dan Harkey and published by John Wiley & Sons, Inc., ISBN0-471-24578-X (first chapter (prior art 2)). According to the network technique, various types of information can be used now through networks using the distributed frameworks represented by the CORBA (Common Object Request Broker Architecture). This trend is now in a rapid progress.

Under such the circumstances, it would be natural that an attempt has been made for obtaining useful information with the good use of such a method as the data mining, thereby integrating data in databases and warehouses existing on networks. A method for making an integrated access to data bases is described in, for example, "Federated Database Systems for Managing Distributed, Heterogeneous, and Autonomous Database" written by A. Sheth and J. Larson and published by ACM Computer Surveys, Vol.22, No.3, pp.183-236 (prior art 3) and "Multidatabase Interdependencies in Industry" written by A. Sheth and G. Karabatis and published

by Proc. of 1993 ACM Sigmod, Vol.22, pp.483-486 (prior art 4), etc. As described in the above publishings, conventionally, studies of heterogeneous databases, federated databases, multi-databases, etc. have been popular mainly in learned societies and many methods for integrating at least two databases have been discussed. Most of those methods, however, have been focused just on how to integrate heterogeneous data taking the heterogeneity among data into consideration.

If an attempt is made to build a data warehouse system in a distributed environment, therefore, the attempt will be confronted with many performance problems because of a mass of data which have to be handled and more complicated queries to those data warehouses than the conventional database retrieval processings. As for the amount of data to be handled, a data warehouse of several TB (tera bytes:  $10^{12}$  bytes) is already built as of March of 1998. A preferred example of such a complicated query processing is described in "TPC BENCHMARK D (Decision Support) Standard Specification" (Revision 1.2.2, Transaction Processing Performance Council) (prior art 5). The benchmark is widely accepted in the concerned fields because it is a typical model of complicated data mining queries on a data warehouse. For example, if a series of the TPC-D queries are issued for a mass of data (1TB), it will take a long time

from several tens of minutes to a few hours even when the fastest computer in the world as of May of 1998 is used.

A general usage type of a data warehouse system is, as shown in Fig.11, a client server type in which data is accumulated and managed in a storage unit 1105 and a client 1101 asks a server 1102 for a query processing, then the client 1101 receives the processing result.

For the usage of a client server type data warehouse system in a distributed environment, however, a large number of clients of diverse characteristics 1401 to 1402 ask the servers 1403 to 1405 of unspecified number of data warehouses and databases, etc. via a network 1405 and obtain the result (1407) as shown in Fig.14. It will thus be easily expected that the processing for an analysis request asked from a client will be delayed. This is because the server's capacity cannot cope with complicated query processings as described above in response to a large number of requests from clients.

To analyze data in a plurality of servers, the following method is usually used: At first, a module 1202 as shown in Fig.12 is built as an extension of a client server type data warehouse system. The module 1202 transfers a query 1207 from a client 1201 to servers 1205 to 1206 via a network 1204 with the use of the server location information 1203, then the processing result 1208 is sent



back to the client 1201. For example, the Virtual Data Warehouse System (VDW) of INTERSOLV Co., Inc. is one of the preferred examples of such the method. Because a VDW manages server locations, a client can handle the data in those servers without knowing them. In this case, however, just like the client server type data warehouse system in the distributed environment described above, it would be difficult to accept the VDW as a preferred example of data warehouse systems in such a distributed environment. Because, each server in the system is overloaded when in processing queries from many clients.

The Japanese Patent laid-Open publication No.8-286960 (prior art 6) discloses a method for processing queries to a plurality of databases or data warehouses in a distributed environment. According to this method, queries are transferred to cluster servers, thereby reducing the processing load of each server. Each cluster server then transfers a query to a proper database according to the query content and integrates the results from the database and sends it back to the client. In this method, because queries are transferred to servers after all, it is impossible to reduce the load of each server.

As for reducing the server's load and shortening the processing time, there is also another method as shown in Fig.13, for example. According to this method, data items

1307 to 1308 are copied from the servers 1305 to 1306 into the module 1309 at the client side (steps 1311 and 1312) and a query 1313 is issued for the copy 1310 so as to obtain the result 1314. Hereafter, the copy 1310 of the data in this server will be referred to as a replica. If a query processing is executed for a replica, query processings in the servers 1305 to 1306 can be avoided, thereby the load of each server can be reduced. In addition, accesses to the servers via a network can also be avoided, thereby the query processing time can be shortened.

In spite of this, if a simple copy method is employed to create replicas from a plurality of servers in an distributed environment, a large scale storage unit 1315 is indispensable for storing those replicas at each client side. For example, if a client tries to integrate 10 servers for use, each of which has about 300GB (giga bytes:  $10^9$  bytes), the user must also prepare a storage unit of 3TB ( $300\text{GB} \times 10$  servers in a simple calculation) and the present technique will not actually effective so much to prepare such a large scale storage unit at the client side. In addition, because a mass of data is transferred from a server to the client via a network when a replica is created, this will increase the load of network significantly. If the data in the server is updated after a replica is created, the replica that was created by using the server's data must also

be updated, thereby the cost of the updating will also be increased to an extent which cannot be disregarded, since this updating cost is proportional to the size of the replica. This method will not thus be a preferred example for data warehouse systems in the distributed environment.

On the other hand, there is another method proposed for reducing the load of each server and shorten the query processing time by caching queries and the processing results so that the cached results are reused for new queries. The method is disclosed in "A Predicate-based Caching Scheme for Client-Server Database Architectures" written by A. Keller and J. Basu" (The VLDB Journal, Vol.5, No.1, pp.35-47) (prior art 7). This method is effective to reduce the load of each server and shorten the query processing time if the reuse rate of query processing results is high. Yet, as the ratio between the object data amount and the scale of the storage unit prepared by a client is too large to improve the reuse rate of the cached data of each data warehouse system in the distributed environment.

The Japanese Patent laid-Open publication No.9-297702 discloses an information processing apparatus/system, as well as a controlling method used respectively for getting files from servers via a network and supply the files to users. This method, however, will

not be able to shorten the response time to the first query from a client. This is because the system creates a replica when receiving a file reference request from a user and if a query is issued from the user, the searching must be asked to a server at first. In addition, because this method creates a replica for each file, it is difficult to create a replica for each record or an object matching with the query condition of a database.

There are two methods for propagating updating of data in a server to each client (corresponding to the data collector to be described later in this invention); the push method controlled by each server and the pull method controlled by each client. In the push method, each server transmits data to each client at fixed intervals (for example, every hour) or each time the data in each server is updated. In the pull method, each client accesses a server and obtains data from the server at fixed intervals or as needed. The push method in which data is delivered to respective clients has been confronted with a problem that the load of each server is increased. The push method in which each server sends the data by broadcast or multicast, and only the clients that need the object data receive it, a problem also arises; it is difficult for each client to obtain data at a proper timing. Therefore, only the push method is employed, it is difficult to deliver data

efficiently in a distributed environment. On the other hand, in the case of the pull method, if it is in the case that when data in a server is updated, the client data is also updated immediately, then each client must check the data in the server frequently. Accordingly, in a server in which many clients issue processing requests frequently, the load of the server for processing those requests rises too high to cope with them. It will thus be found that it is difficult to deliver data efficiently only with the use of the pull method in a distributed environment. A combination usage of the push and pull methods is described in "Update Monitoring: The CQ Project" written by C. Pu and L. Liu (Lecture Notes in Computer Science, Vol.1368, ISSN 0302-9743, pp.396-411 (hereafter, to be referred to as CQ) (prior art 9). In this CQ, each query including a trigger condition from a client is registered in the CQ server and data is transferred with the pull method at first under the control of the client, but the push method is used for the second time and after under the control of the server according to the trigger condition included in the query. The CQ cannot specify none of the push and pull methods for each query, so that the push method has come to be used for transferring data after all under the control of the server. Thus, the method cannot avoid a problem of an increase of the load of the server.

In the case of a method for transferring queries to each server, if a large number of clients try to access many servers including databases, data warehouses, etc. through a network so as to get useful information with the use of integrated data in those servers, then the method is confronted with a problem that each of those servers is overloaded. Significant dependency of the method on the network and an increase of the response time to each query have also been other problems. The method for creating replicas at each client side has been confronted with such problems as an increase of the load on the network due to the transfer of a mass of data, an increase of the capacity of the storage unit at the client side, and an increase of the updating cost of replicas. In addition, in the case of a method for using a cache, the method has been confronted with such problems as falling of the reusability of cached data. This is why it has been difficult to build data warehouse systems efficiently in the distributed environment.

#### Summary of the Invention

Under the circumstances, it is an object of the present invention to provide an efficient data warehouse system in the distributed environment, a method for

processing queries, and a method and an apparatus for collecting data for such query processings.

More concretely, it is the first object of the present invention to reduce the load of each server and the second object to reduce the dependency of the query processing on the network, the third object to shorten the time of response to each query, the fourth object to reduce the load the network, the fifth object to reduce the storage capacity of each client, the sixth object to reduce the updating cost of each replica, and the seventh object to improve the hit ratio of each replica.

In order to achieve the first to third objects described above, the present invention composes a data warehouse system so as to be provided with a data collector for creating/managing replicas of the data in a server used for processing queries from clients, so that those replicas created in the data collector are used as much as possible for processing queries from clients. Because the data collector processes queries such way, less queries are transferred to the server, thereby the reduction of the load applied on the server, which is the first object, can be achieved. Furthermore, because the data collector can also create replicas of data in the server, those replicas created in the data collector can be used for processing queries from clients when the network connected to the

server goes down, thereby the reduction of the dependency of query processings on the network, which is the second object, can be achieved. Furthermore, because the data collector can also use the replicas of only the minimum necessary data for processing queries from limited number of clients and processes no query in the server, the shortening of the response time to each query, which is the third object, can be achieved. To achieve this third object, query processings in the server are avoided especially to prevent the server from an increase of the load to be caused by accesses from many clients and management of a mass of data, which result in high costs for processing those many queries.

More concretely, according to the present invention, each query is processed as follows. The data collector decides if it is possible to process a query from a client in itself or whether the query should be processed in another cooperative data collector or it should be transferred to a server. If the data collector itself or another cooperative data collector can process the query, the data collector process the query. With such the use of other cooperative data collectors, many queries have come to be processed in those data collectors, thereby the first to third objects can be achieved. Furthermore, because only some of the queries, which cannot be processed by the data



collector and any other cooperative data collectors, are transferred to a server, the amount of data to be processed in the server becomes less, thereby the load on the server can be reduced and less result data is sent back from the server to clients. The reduction of the load on the object network, which is the fourth object, can thus be achieved.

Furthermore, in order to solve the problems to arise when replicas are simply created and to achieve the fourth to sixth objects, the data collector is associated with other data collectors as needed, so that clients who can share data are grouped and replicas are created for such a group of clients and the replicas are shared among those clients in the group. Because each replica is shared by clients such way, the amount of data to be transferred from the server to the data collector is reduced, thereby the load of the network is reduced. According to the present invention, the data collector creates replicas. Thus, it is possible to reduce the capacity of the storage unit required by each client, which is the fifth object, as well as reduce the capacity of the storage unit required by the data collector through sharing of replicas among data collector. Furthermore, because replicas are shared among data collectors, the number of replicas in the whole system can be reduced, thereby the reduction of the updating cost, which is the sixth object, can also be achieved.

A replica can be created so as to satisfy part or the whole of each replica creation request as follows. At first, the data collector accepts a replica creation request from a client. The request includes the conditions such as the data quality as the precision, freshness, and priority of the data given from a user through the client, as well as the condition of the data collecting range. The data collector then holds the request. After that, the data collector negotiates with a server which supplies the object data considering the values of available resources such as the storage unit capacity, the CPU performance, etc., thereby creating a replica which satisfies part or the whole of the replica creation request. Because a replica creation request is given from each user, it is possible to collect the data wanted by the user, thereby the hit ratio of each replica can be improved to achieve the seventh object. Furthermore, because the data quality is adjusted when each replica is created, it is possible to create the object replica in a proper size according to the computer resources available for the data collector, thereby the reduction of the load on the object network, which is the fourth object, can be achieved and the reduction of the capacity of the storage unit of each client and the data collector, which is the fifth object, can be achieved. In addition, the

reduction of the updating cost for each replica, which is the sixth object, can be achieved.

When in updating a replica, the pull method controlled by each client and the pushing method controlled by each server are combined so as to reduce the load on the server while considering the request of each client for data. The load of the server when in updating of a replica, which is the first object, can thus be reduced.

#### Brief Description of the Drawings

Fig.1 is a block diagram of a data warehouse system in the first embodiment of the present invention.

Fig.2 is a flowchart of a replica creating procedure.

Fig.3 is a flowchart of a negotiating procedure.

Fig.4 is a flowchart of a query processing procedure.

Fig.5 is a flowchart of an updating procedure.

Fig.6 shows a replica creation request issued from a client in an embodiment of the present invention.

Fig.7 shows a method for adjusting the precision in accordance with each data type in an embodiment of the present invention.

Fig.8 shows a replica management table in an embodiment of the present invention.

Fig.9 shows delivered data and updated data in an embodiment of the present invention.

Fig.10 shows information used in a negotiating processing in an embodiment of the present invention.

Fig.11 shows how to use a conventional data warehouse.

Fig.12 shows how to compose a conventional data warehouse in a distributed environment.

Fig.13 shows how to compose a conventional data warehouse in a distributed environment.

Fig.14 shows how to form a conventional configuration in which multiple clients correspond to multiple servers in a distributed environment.

Fig.15 shows an example of how to group a plurality of clients in a data collector in accordance with the present invention.

Fig.16 shows another example of grouping a plurality of clients in a data collector in accordance with the present invention.

Fig.17 shows how to use the freshness condition in an embodiment of the present invention.

Fig.18 is a block diagram of a data warehouse system in the second embodiment of the present invention.

Fig.19 shows an example of a replica creation request in accordance with the present invention.

65204-40340

### Detailed Description of the Preferred Embodiments

Fig.1 shows a preferred embodiment of a data warehouse system in accordance with the present invention. Clients 103 and 104 are connected to a data collector 1 (101) via an intra-network 128.

The intra-network 128 may be a local area network (LAN) connected through the Ethernet, optical fibers, and an FDDI. The clients 103 and 104 may be any computer systems such as personal computers including the Hitachi FLORA, and Hitachi 3050 creative work stations. The data collector 1 includes a client management unit 105 which groups and manages a plurality of clients and accepts replica creation requests and queries from the clients and transfers replica creation requests to a replica creation request analysis unit 106 and queries to a query analysis unit 109; a replica creation request analysis unit 106 which decides whether to create a replica actually in response to a replica creation request from a client, then transfers the replica description, which is information related to the created replica, to a replica creating management unit 107 if decided to create the replica; a replica creating management unit 107 which stores and manages replicas 123 in a storage unit 112; a query analysis unit 109 which analyzes each query from each client; a query processing unit 127 which processes each query from each client if the data collector

1 can processes it; and a communication control unit 110 which manages communications with servers and other data collectors via the network 113. The data collector 1 may also be provided with a data collector negotiation unit 111 so as to cope with higher level processings. The data collector negotiation unit 111 will be described in detail later. The data collector may also be any computer system just like a client. The storage unit 112 may be any of a magnetic storage unit, an optical disk unit, and a magnetic tape unit. The network 113 may be a local area network (LAN) as described above.

The server 1 (114) includes a communication control unit 115 which accepts replica creation requests and queries from a data collector; a query processing unit 117 which processes queries; a delivery data management unit 118 which manages data to be delivered to the data collector; and a delivery data management table 120 referenced by the delivery data management unit 118. The server 1 may also be provided with a server negotiation unit 116 and a load management unit 119 so as to cope with higher level processings. Those items 116 and 119 will be described in detail later. The server 1 may also be any computer system just like the data collector. The storage unit 121 for storing server data 124 may also be any of a magnetic storage unit, an optical disk unit, a magnetic tape unit, a CD-ROM,

etc. or a combination of those devices. Furthermore, the management of server data and replica data performed by the data collector may be done by a file system or a database management system such as HITACHI HiRDB, etc.

The client management unit, the replica creation request analysis unit, the replica creating management unit, the query processing unit, the communication control unit, and the data collector negotiation unit provided for the data collector, as well as the communication control unit, the query processing unit, the server negotiation unit, the load management unit, and the delivery data management unit provided for the server may be all composed as dedicated hardware. They may also be programs stored locally in the storage unit provided in the server or down-loaded from a server which stores network programs. A program down-loaded safely from a server in a heterogeneous distributed environment can be executed with the use of a programming language (hereafter, to be referred to as an Internet programming language) as described in, for example, "The Java Tutrial" written by Mary Compione and Kathy Walrath" (Addition-Wesley, ISBN 0-201-63454-6, chapter 4) (prior art 10).

In particular, a preferred embodiment of a client may use a web browser program such as the Internet Explorer of Microsoft Inc., Netscape Navigator of Netscape Inc. to run

on such a personal computer as Hitachi FLORA, any computer system such as a Hitachi 3050 creative work station, etc. In this case, however, any client program can be changed dynamically by down-loading a program module created with the use of the Internet programming language into the browser.

Although clients are connected to the data collector (1 to n) directly via an intra-network in this embodiment, the present invention is also effective for a case as shown in Fig.18, in which clients are connected to a data collector via a LAN and the Internet.

More concretely, the present invention is characterized by the following five points. (1) A partial replica is created in a data collector so that a group of clients can share the replica. (2) A replica is created as follows. At first a replica creation request is received from a user, then the data used to create an actual replica is decided through negotiation with the server, and the data is described as a replica description for management. (3) The replica creation request includes not only a condition to decide the object data range (data region condition), but also a condition to decide data quality (data quality condition). (4) A delivery data management table held at the server side is used to update the replica and transferred with a method obtained by combining a pushing method



controlled by the server and a pulling method controlled by the client. (5) In principle, queries are processed by its own data collector and queries which cannot be processed by its own data collector are processed by another data collector or the queries are transferred to a server so as to be processed there. Hereafter, each of those five characteristic points will be described in detail.

(1) As described above, it is difficult for the conventional technique to realize data warehouses in a distributed environment as long as a simple client-server system as shown in Fig.14 is taken. In the case of the present invention, therefore, the data warehouse system is provided with a data collector for managing clients which can share data as a group. The use of this data collector makes it possible to suppress creation of redundant replicas to be duplicated at the client side so as to prevent useless accesses to a server. The load of the server can thus be reduced. For example, in Fig.15, the client 1 (1501) needs the data (1503) exceeding ¥10,000 in the sales table and the client 2 (1502) needs the data (1504) exceeding ¥5,000 in the sales table. If the sales data 1509 is stored in the server 1505, the clients 1 and 2 must get the data from the server 1505 respectively. In other words, the server 1505 is loaded by the queries from both of the clients 1 and 2. On the other hand, if the data collector of the present

invention is installed so as to hold the replica creation requests from the clients 1 and 2 and create a replica 1508 with "the data exceeding the sales of ¥5,000" (1507), which is a union of the conditions, employed as a replica description and obtained from the server, then the queries from the clients 1 and 2 can be processed with the use of the data in the data collector. It is thus possible to reduce the accesses of the clients 1 and 2 to the server 1505, as well as to reduce the load of the server and the load of the network to be increased by data transfer. If it is impossible for the data collector to hold the union of replica creation requests from the clients 1 and 2 due to the limit in the capacity of the storage unit 1610 of the data collector itself, the limit of the performance of the CPU of the data collector 1606, or the limit of the data amount to be transferred in the network, then "the sales data exceeding ¥10,000" (1607) is obtained from the server as a replica description for each of the replica creation requests (1603 and 1604) of the clients 1 and 2 (1601 and 1602) as shown in Fig.16. Replicas can thus be created properly. The "sales data exceeding ¥10,000" is an intersection of the conditions of the replica creation requests from the clients 1 and 2. It is thus possible to process all the processing requests with the use of replicas if the processing request from the client 1 satisfy the

replica creation request. In the same way, for the client 2, it is only needed to transfer queries which cannot be processed with the use of replicas, that is, the queries which reference to "the sales data between ¥5,000 to ¥10,000" to the server, thereby the load of the server, as well as the load of the network can be reduced. It is thus clear that the effect of the load reduction for both server and network is increased in proportion to the increase of the number of clients.

(2) Hereunder, how a replica creation request is accepted and how a replica is created will be described with reference to Figs.2 and 8. If the data collector 101 accepts a replica creation request (the replica creation request will be described in (3)) from the client 103 or 104 (step 202), the replica creation request analysis unit 106 analyzes the request (step 203) and references to the replica management table 108 shown in Fig.8 through the communication with the replica creating management unit 107 (step 204). The replica management table 108 includes a data region condition 801 related to the region of the data to be created and a data quality condition 802 related to the quality of the data. These two entries are referred to as a replica description 803 respectively. One replica description entry consists of replica location information 804 which describes the name of a data collector in which the replica

is stored, server location information 805 which describes the name of a server from which the data of the replica is output, and a data delivery condition 806 which is a maintenance condition of the replica. For example, the first entry 807 in Fig.8 indicates that the replica of the data which fetches the three columns of the order number, the price, and the customer number of each record exceeding ¥10,000 among the records is stored in the data collector 1 and the source data of the replica is stored in the server 1, the data is delivered from the server 1 with the use of a pushing method at 13:00, and a maintenance work is applied to the replica. The {1:00, 13:00} "PUSH" described for the data delivery method in the second entry in Fig.8 indicates that data is delivered at 1:00 and 13:00 with the use of a push method.

If a requested replica can be created from an existing replica in its own data collector (if Yes is selected in the decision processing 205), then the replica creating is ended without creating any new replica. For example, if the replica management table is as shown in Fig.8 and the new replica creation request is the first entry 190 shown in Fig.19, then the replica creation request can be processed with the use of the existing replica in the data collector 1, shown as 807 in Fig.8 and Yes is selected for the decision processing 205.

If a requested replica cannot be created from the existing replica 123 stored in its own data collector (if No is selected in the decision step 205), it is decided whether or not the requested replica can be created from a replica 126 stored in any cooperative data collector 102 (decision step 208). If possible (if Yes is selected in the decision step 208), then it is further decided whether to create another replica in its own data collector (decision step 216). If no duplicated replica is created in its own data collector (if No is selected in the decision step 216), the replica creating is ended without creating any further replica. If a duplicated replica is created in its own data collector (if Yes is selected in the decision step 216), then the replica is created from the replica stored in an cooperative data collector 102 on the condition requested from the client (step 215), then the replica creation is ended (step 219).

For example, If the replica management table is as shown in Fig.8 and a new replace creation request is the second entry 1902 shown in Fig.19, then the new replica creation request cannot be processed with any existing replica stored in the data collector 1. However, the request can be processed with the use of the replica stored in the data collector 2. In such a case, if the storage unit of the data collector 2 has a capacity enough for creating the

new replica, then the new replica is created in the data collector 1 from the replica stored in the data collector 2. If the data collector 1 receives a replica creation request from a client and the priority of the replica is higher than that of the existing replica stored in the data collector 1, the existing replica is erased, then the replica with the higher priority is created in the data collection means 1 in accordance with the replica creation request.

To decide whether or not it is possible to create a replica from an existing replica for a new replica creation request, the replica management table which describes the content of the existing replica is compared with the new replica creation request. More concretely, the data collector holds the replica creation request and if the data collector receives a new replica creation request for the replica description created according to a combination of the replica creation request set and the replica creation request, then the data collector holds the replica creation request and compares the request with an entry in the replica management table, thereby deciding the relationship between conditions for whether the value is set in both of the conditions or one condition includes the other. For example, if a new replica creation request shown in Fig.19 is output to each entry in the replica management table shown

in Fig.8 in the preceding embodiment, then it would be clear that the new replica creation request represented by the entry 1902 is included in the existing replica represented by the entry 808. In this embodiment, a very simple replica creation request is picked up as an example, but the replica management which uses the replica management table of the present invention can also apply to further general cases with the use of a method referred to as "Query Equivalence, Query Containment" disclosed in, for example, "PRINCIPLES OF DATABASE AND KNOWLEDGE-BASE SYSTEMS" written by Jeffrey D. Ullman (volume II, Computer Science Press, ISBN 0-7167-8162-X, Chapter 14) and "Optimization for Conjunctive Queries", which discloses the relationship between conditions for whether both conditions take the same value or one condition includes the other.

If it is impossible to create a replica requested from a client from any of the existing replicas stored in its own data collector and the existing replicas in the cooperative data collectors (if No is selected in the decision step 208), then a negotiation with the server is made (step 211). If it is found as a result of the negotiation that there is no condition to be agreed by both data collector and server for creating the replica (if No is selected in the decision step 212), then the replica creation is ended without creating any replica (step 219). If it is found as a result of the

negotiation that there is a condition to be agreed by both for creating the replica (if Yes is selected in the decision step 212), then the replica is created in accordance with the replica creation condition (step 215), then the replica creation is ended (step 219).

Hereunder, the negotiation processing in step 211 will be described in detail with reference to Figs.1 and 3. At first, a replica creation request is transferred from the data collector 101 to the server 114 (step 302). Then, if the server accepts the request (if Yes is selected in the decision step 303), the replica creation request (a rate in a accounting system) is defined as a condition for creating the replica requested by the data collector (step 310), then the replica creation management unit 107 of the data collector updates the replica management table 108 and the delivery data management unit 118 of the server updates the delivery data management table 120 according to the created condition, then the negotiation processing is ended (step 311). If there is no new condition to be presented to the data collector from the server (if No is selected in the decision step 312) when the replica creation request transferred from the data collector cannot be accepted (if No is selected in the decision step 303), the negotiation processing is ended without setting any information related to the replica creation request (step 311). If there is a



new condition presented by the server to the data collector (if Yes is selected in the decision step 312) when the server cannot accept a replica creation request transferred from the data collector (when No is selected in the decision step 303), then the server transfers the new condition to the data collector (step 306). If the data collector accepts the condition presented by the server (if Yes is selected in the decision step 307), then the replica management table of the data collector and the delivery data management table of the server are updated according to the new condition defined as a replica creation condition (step 310), then the negotiation processing is ended (step 311). If the data collector cannot accept the condition presented by the server (if No is selected in the decision step 307), then the negotiation processing is ended without setting any information related to the replica creation request.

Hereunder, a negotiation processing will be described more in detail with reference to Figs.1 and 10. In this example, it is premised that the replica creation requests from the clients 103 and 104 are in accordance with the conditions as shown in Fig.10(A). At first, the data collector 1 (101) transfers a replica creation request 1001 to the server 1 (114) via the network 113. The server 1 estimates the load to be applied on its own system when in accepting the replica creation request from the load of the

current own-system managed by the load management unit 119. If the estimated value is under the threshold, the server 1 accepts the replica creation request and notifies the data collector of the response of acceptance (1003) and ends the negotiation. The replica creation request is thus defined as a replica creation condition. On the other hand, if the replica creation request 1002 is transferred to the server m(122) and it is found as a result of the load estimation that the server 1 cannot accept the replica creation request on the condition shown in the request 1002, but can accept the request on the new condition as shown in the request 1004, then the server m returns the response of acceptance and the new condition generated by the server 1 to the data collector 1. The data collector 1 then returns the new condition to the client 103 or 104 which has issued the replica creation request. If the client accepts the new condition, the server 1 updates the replica management table of the data collector and the delivery data management table of the server in accordance with the new condition defined as a replica creation condition, then ends the negotiation processing.

The load of the current own-system and the load of its own-system when the replica creation request is accepted are measured in the following embodiment. Generally, a multi-task operating system has a queue of executable

processes, which is referred to as "a run queue". The time average of the average length of the queue is referred to as a load average. For example, the server regards the load average  $L$  of its own-system as the load of its own-system and estimates the load of its own-system as  $L+1$  when the server 1 accepts the new replica creation request. If the estimated load is under the threshold  $L_{MAX}$  (that is,  $L+1 \leq L_{MAX}$ ), the server 1 accepts the replica creation request. If the server cannot estimate the load average, the average number of jobs  $N$  per a fixed time is regarded as the load of its own-system and the server 1 estimates the load of its own-system as  $N+1$  when it accepts the replica creation request. Then, if the estimated value is under the threshold  $N_{MAX}$  (that is,  $N+1 \leq N_{MAX}$ ), the server 1 accepts the replica creation request.

(3) At first, a replica creation request will be described below. For example, in the embodiment shown in Fig.15, the clients 1 and 2 collect and analyze sales data of goods. In the case of the data mining, data to analyze is often limited for some reasons, for example, a client wants to analyze only the sale data in Tokyo. This restriction is based on an intention of a user who wants to analyze data using a client. It is difficult for a computer to take this intention into consideration automatically at present and such a processing is often redundant. To avoid such the problem,

therefore, the present invention provides each client with an interface which allows the user to give a replica creation request related to the data to access. The interface may be a data collector. Just like a server, the interface may be a program stored locally in the client 1501 or 1502 shown in Fig.15 or a program down-loaded from a server which stores network programs. Thus, the replica 1508 to be shared by clients is created in the data collector 1506 in accordance with the replica creation request issued from the user using the interface of the client.

The replica creation request, as shown in Fig.6, includes a data region condition (601) indicating a data range, a data quality condition (603) indicating the quality of data, and a data delivery condition (604) indicating a method for delivering data. The data quality condition also includes, for example, the data updated in the server, as well as a freshness condition 605, a precision condition 606, and a priority condition 607. The condition 605 indicates that data, which is not updated yet, received within one hour is regarded as the latest data in a replica. The condition 606 indicates that 10% samples corresponding to the order numbers in the order list are defined as replica data. The condition 607 indicates that the upper 10 data items in the order list are used with a precedence over others. In this case, however, it is premised that the

replica creation request allows a notation which excludes the data quality condition taking the compatibility with the conventional query language.

It is also premised that if a client requests the latest data when the replica creation request does not include the freshness condition, the data in the server must be checked and if the replica creation request does not include the precision condition, the precision is 100% and if the replica creation request does not include the priority condition, the data in all orders are found. The precision condition, as shown in Fig.7, may be defined according to the data type with the use of various methods such as sampling of data in related databases, extraction of each field, creation of a summary for text data, extraction of each keyword, lossless/lossy compression of still image data, extraction of each frame, reduction of colors, reduction of resolution, contraction in size, reduction of frames of dynamic picture image data, compression of picture image data in each frame, sound quality adjustment for voices, conversion to character data, etc.

The size of a replica can be reduced by having the data quality condition included in the replica creation request. An effective precision adjustment method is disclosed in the Japanese Patent laid-Open publication No.10-269248 "A

Method for Executing data randomly in a Database Processing System" (prior art 12). According to this method, a high precision can be estimated only with small scale sampling data, thereby it would be understood that precision adjustment is very effective for building data warehouse systems. In addition, using the freshness condition will makes it possible to flexibly solve the updating problem which has been discussed for a distributed system.

For example, as shown in Fig.17, if the freshness validity is one day, the replica is updated last at 6:00 in October 1997 and the current time is 22:00 in October 1997. The data in the server may be updated actually during the time, but if the freshness validity is defined as one day, the current time data  $D_A(T_C)$  can be regarded as the last updating time data  $D_A(T_P)$ , thereby it is prevented that the data in the server transferred via the network each time the data in the server is updated. The load of the network can thus be reduced. Of course, in the case that if the data in the server is updated, the updating must affect the freshness validity and it is only needed to avoid specifying of the freshness.

Furthermore, if the priority limit 607 as shown in Fig.6 is applied, the amount of data to transfer can be reduced. This limit 607 specifies that the upper 10 prices in the order list are included in a replica. When compared

with a case in which a replica of the whole order list is created, the transfer data amount can be far more reduced.

(4) Hereunder, how the data delivery condition for updating a replica is updated will be described with reference to Figs.1 and 5. If the data 124 managed by the server 1 (114) is updated (step 502), the delivery data management unit 118 of the server references to the delivery data management table 120 (step 503) and if updated data is not registered in the delivery data management table (if No is selected in the decision step 504), the updating processing is ended without delivering data (step 506). If updated data is not registered in the delivery data management table (if Yes is selected in the decision step 504), the data corresponding to the destination is delivered according to the data delivery condition of the delivery management table (step 505), then the updating processing is ended.

Next, how a replica is updated will be described in detail with reference to Figs.1 and 9. The server 1 (114) stores order list data 124 in its storage unit 121. In this case, it is premised that new order data 907 is entered to the order list. The delivery data management unit 118 correlates the updated data with the data in the delivery data management table. Because the updated data satisfies the entries 905 and 906 of the delivery data management table, the delivery data management unit 118 delivers the

updated data to the data collector 1 at 13:00 according to the entry 905 using a pushing method controlled by the server and to the data collector 2 at 1:00 and 13:00 according to the entry 906 using a pushing method controlled by the server. When in delivery of data at 13:00, the server 1 can deliver data collectively to the data collector 1 and 2 through multicasting, so the load of the server, as well as the load of the network can be reduced. Furthermore, if the data delivery condition in the entry 906 includes a data delivery condition of the entry 905 for delivering data once between 11:00 and 15:00 or once a day, then the number of data transfers can be reduced by adjusting the data transfer in the entry 906 to the data transfer in the entry 905.

(5) Hereunder, a query processing which uses a replica will be described with reference to Figs.1 and 4. If the client 103 issues a query to the data collector 101, the query is transferred to the query analysis unit 109 via the client management unit 105. Then, the query analysis unit communicates with the replica creation management unit 107 to reference to the replica management table (step 403). The query analysis unit decides according to the result of the reference to the replica management table whether or not the query can be processed in its own data collector. If the query can be processed in its own data collector (if Yes is selected in the decision step 404), the query is processed



in its own data collector (step 407) and the solution is transferred (step 415), thereby the query processing is ended (step 416). If the query cannot be processed in its own data collector (if No is selected in the decision step 404), it is decided whether or not the query can be processed in an cooperative data collector. If decided to be possible (if Yes is selected in the decision step 408), the query is transferred to the cooperative data collector (step 411) and the query analysis unit receives the solution (step 412) and transfers the solution to the client (step 415), then ends the query processing. If the query cannot be processed in any of its own and cooperative data collectors (if No is selected in the decision step 409), the query analysis unit transfers the query to the server (step 413) and receives the solution (step 414), then transfers the solution to the client (step 415) and ends the query processing (step 416).

To decide whether or not a query issued from a client can be processed with a replica, the replica management table which describes the contents of existing replicas is compared with the issued query. More concretely, the entries in the replica management table (hereafter, to be referred just as entries) are compared with the issued query. If the query has the same value as that of an entry or the query is included in the entry in the management table, the replica can be used to process the query. This

decision is the same as the decision for whether or not an existing replica can be used to process a new replica creation request as described above. In the processing of a new replica creation request, because it is possible to decide whether or not the replica can be used to process the query with the use of the method of the prior art 11 even for a general case, the present invention makes it possible to process each query with the use of a replica stored in its own data collector and a replica stored in a cooperative data collector.

Hereunder, a query processing which uses a replica will be described in detail with reference to Figs.1 and 8. In this case, it is premised that a client has issued a query of "find numbers of orders whose prices are over ¥20,000 and the order prices". Receiving the query from the client 103, the client management unit 105 of the data collector 1 transfers the query to the query analysis unit 101. The query analysis unit 101 then references to the replica management table 108 and concludes that a replica stored in its own data collector 1, which corresponds to an entry 807, can be used to process the issued query. The analysis unit 101 thus processes the query with the use of the replica. Consequently, the query processing is not transferred to the server in this case. Next, it is assumed that the client issues a query of "find the numbers of orders whose prices

are ¥3,000 or under". In this case, the query analysis unit 101 references to the replica management table and concludes that a replica stored in the data collector 2, which corresponds to the entry 808, can be used to process the query. The query analysis unit 101 thus transfers the query to the data collector 2. Finally, it is assumed that the client 103 issues another query "find numbers of customers who have ordered goods whose prices are ¥7,500". In this case, the query analysis unit 101 concludes that there is no entry in the replica management table shown in Fig.8, which is usable for processing the query, thus no replica can be used to process the query. The analysis unit 101 therefore transfers the query to the server.

Although it is assumed that the data collector can obtain the name of a server which can obtain necessary data by using a distributed network basis technique such as the CORBA, etc. in the above case, the present invention can apply even when the data collector cannot manage server information.

According to the method of the present invention for building data warehouses, for example, a method for grouping clients, it is possible to have data shared among clients, thereby the capacity of each client storage unit, updating cost, and network load can be reduced. Furthermore, it is possible to create replicas which can be used at a high usage

[illegible]

Claims:

1. A data warehouse system, comprising:
  - at least one client for accepting a processing request from a user;
  - at least one server provided with a database respectively and used for searching said database according to an access request from said client;
  - at least one data collector for collecting data requested by said user;
  - a database for storing data collected by said data collector; and
  - a network for connecting said client to a desired server via said data collector; wherein
    - said data collector includes;
    - a client management unit for managing at least one client;
    - a query analysis unit for analyzing a request from said client;
    - a replica creation request analysis unit for analyzing each replica creation request from said client;
    - a communication control unit for deciding a procedure for accessing at least one of said servers according to the analysis result from said query analysis unit; and
    - a replica creation management unit for creating a replica according to an analyzed creation request and

managing said replica using a replica management table which corresponds the content of said created replica to the information related to the location of said replica stored in said database; and

said server includes;

a communication control unit for receiving a query analysis result transmitted from at least one of a plurality of said data collectors; and

a query processing unit for searching the database of each server and creating a response to said received query analysis result.

2. A data warehouse system, comprising:

at least one client for accepting a processing request from a user;

at least one server provided with a database respectively and used for searching said database according to an access request from said client;

at least one data collector for collecting data requested by said user; and

a database for storing data collected by said data collector; wherein

said data collector includes;

a replica creation request analysis unit for analyzing a replica creation request from said client;

a client management unit for grouping a plurality of clients which can share data according to an analyzed creation request and managing a plurality of said clients; and

a replica creation management unit for creating a replica according to an analyzed creation request and managing said replica using a replica management table which corresponds the content of said created replica to the information related to the location of said replica stored in said database;

3. A data warehouse system in accordance with claim 1; wherein

said replica creation management unit includes a program creation unit for creating a program for receiving a replica creation request dynamically from said client by combining software parts received via said network.

4. A data warehouse system, comprising:

at least one client for accepting a processing request from a user;

at least one server provided with a database respectively and used for searching said database according to an access request from said client;

at least one data collector for collecting data requested by said user;

a database for storing data collected by said data collector; and

a network for connecting said client to a desired server via said data collector; wherein

said data collector includes;

a client management unit for managing at least one client;

a query analysis unit for analyzing a request from said client;

a replica creation request analysis unit for analyzing a replica creation request from said client;

a communication control unit for deciding a procedure for accessing at least one of a plurality of said servers according to the analysis result from said query analysis unit;

a replica creation request analysis unit for analyzing a replica creation request from said client; and

a replica creation management unit for creating a replica according to an analyzed creation request and managing said replica using a replica management table which corresponds the content of said created replica to the information related to the location of said replica stored in said database; and

said server includes;



a communication control unit for receiving a query analysis result transmitted from at least one of a plurality of said data collectors;

a query processing unit for searching the database of each server and creating a response to said received query analysis result;

a delivery data management table which includes the region condition, quality condition, delivery destination, and delivery method of the data to be delivered to said data collector; and

a delivery data management unit for managing said delivery data in accordance with said table.

5. A data warehouse system, comprising:

at least one client for accepting a processing request from a user;

at least one server provided with a database respectively and used for searching said database according to an access request from said client;

at least one data collector for collecting data requested by said user; and

a database for storing data collected by said data collector; wherein

said data collector includes;

a client management unit for managing at least one client;

a query analysis unit for analyzing a request from said client;

a communication control unit for deciding a procedure for accessing at least one of said servers according to an analysis result from said query analysis unit;

a replica creation request analysis unit for analyzing a replica creation request from said client; and

a replica creation management unit for creating a replica according to an analyzed creation request and managing said replica using a replica management table which corresponds the content of said created replica to the information related to the location of said replica stored in said database; and

said server includes;

a communication control unit for receiving a query analysis result transmitted from at least one of a plurality of said data collectors;

a query processing unit for searching the database of each server and creating a response to said received query analysis result;

a delivery data management table which includes the region condition, quality condition, delivery destination, and delivery method of the data to be delivered to said data collector;

a delivery data management unit for managing said delivery data in accordance with said table; and

a load management unit for managing the load information of said server and estimating the server load when processing a delivery request accepted newly for said delivery data.

6. A data warehouse system, comprising:

at least one client for accepting a processing request from a user;

at least one server provided with a database respectively and used for searching said database according to an access request from said client;

at least one data collector for collecting data requested by said user; and

a database for storing data collected by said data collector; wherein

said data collector includes;

a client management unit for managing at least one client;

a query analysis unit for analyzing a request from said client;

a replica creation request analysis unit for analyzing a replica creation request from said client;

a communication control unit for deciding a procedure for accessing at least one of a plurality of said servers

6507-10000

according to the analysis result from said query analysis unit;

a replica creation request analysis unit for analyzing a replica creation request from said client; and

a replica creation management unit for creating a replica according to an analyzed creation request and managing said replica using a replica management table which corresponds the content of said created replica to the information related to the location of said replica stored in said database; and

said server creates a replica in said data collector before processing a query from a client, according to a replica creation request to which said client gives part or the whole of the data to access when in processing of a query.

7. A data warehouse system in accordance with claim 5; wherein

said data collector includes;

a data collector negotiation unit for deciding data for which a replica is to be created through a negotiation with said server according to said replica creation request, computer resources usable by said data collector and by said server when a replica is to be created in said replica creation management unit.

8. A data warehouse system in accordance with claim 6; wherein

said server includes;

a server negotiation unit for negotiating with said data collector according to server load information estimated by said load management unit, computer resources usable by said server and by said data collector.

9. A data warehouse system, comprising:

at least one client for accepting a processing request from a user;

at least one server provided with a database respectively and used for searching said database according to an access request from said client;

at least one data collector for collecting data requested by said user; and

a database for storing data collected by said data collector; wherein

said data collector includes;

a client management unit for managing at least one client;

a query analysis unit for analyzing a request from said client;

a communication control unit for deciding a procedure for accessing at least one of said servers according to the analysis result from said query analysis unit;

a replica creation request analysis unit for analyzing a replica creation request from said client, said

request including at least one of the conditions for specifying the range, precision, freshness, and priority of the data to be accessed by said client; and

a replica creation management unit for creating a replica according to an analyzed creation request and managing said replica using a replica management table which corresponds the content of said created replica to the information related to the location of said replica stored in said database.

10. A data warehouse system in accordance with claim 9; wherein

said server includes;

a data extraction unit for extracting data for a replica creation request including a condition for specifying a data precision through any of the following processings according to the object data type.

- (1) Sampling or extracting each field for record data in cooperative databases
- (2) Creating a summary or extracting of each keyword for text data
- (3) Reversible compression, irreversible compression, frame extraction, reduction of colors, reduction of resolution, or contraction in size for still picture image data

(4) Reduction of frames or compression of picture images in each frame for dynamic picture image data

(5) Reduction of sampling rates, adjustment of sound quality, or conversion to character data for voice data.

11. A data warehouse system, comprising:

at least one client for accepting a processing request from a user;

a plurality of servers provided with a database respectively and used for searching said database according to an access request from said client;

at least one data collector for collecting data requested by said user; and

a database for storing data collected by said data collector; wherein

said data collector includes;

a client management unit for managing at least one client;

a query analysis unit for analyzing a request from said client;

a communication control unit for deciding a procedure for accessing at least one of a plurality of said servers according to the analysis result from said query analysis unit;

a replica creation request analysis unit for analyzing a replica creation request from said client; and

2025 RELEASE UNDER E.O. 14176

a replica creation management unit for creating a replica according to an analyzed creation request and managing said replica using a replica management table which corresponds the content of said created replica to the information related to the location of said replica stored in said database; and

said replica creation management unit includes;

a data collector negotiation unit for negotiating with said server to decide data for which a replica is to be created according to said replica creation request and computer resources usable by said data collector and said server;

said server includes;

a communication control unit for receiving a query analysis result transmitted from at least one of a plurality of said data collectors;

a server negotiation unit for negotiating with said data collector for replica creation conditions according to computer resources usable by said server; and

a query processing unit for searching a database at said server side and create a response to a received query analysis result.

12. A data warehouse system in accordance with claim 11; wherein

said server includes;



a load management unit for estimating the load of said server when accepting and processing a new request for creating a replica of delivery data.

13. A data warehouse system in accordance with claim 12; wherein

said server negotiation unit includes a processing unit for negotiating with said data collector according to computer resources including the load information of said server estimated by said load management unit as computer resources usable by said server.

14. A data warehouse system in accordance with claim 11; wherein

said server negotiation unit includes;

a response processing unit for returning a response of whether to accept said replica creation request or reject or accept said replica creation request on a condition.

15. A data warehouse system in accordance with claim 14; wherein

said response processing unit includes;

a transfer unit for giving a new condition generated by updating the condition of said replica creation request to a response accepted on a condition, then transferring said response with a new condition to said data collector.

16. A data warehouse system in accordance with claim 15; wherein

said data collector negotiation unit includes a processing unit for giving said new condition transferred and combined with said response to said client.

17. A data warehouse system in accordance with claim 11; wherein

said replica creation management unit includes an addition unit for adding conditions and information to said replica management table, said conditions and information including the data region, data quality, storing location information, and delivery method of said replica created as a result of said negotiation.

18. A data warehouse system in accordance with claim 11; wherein

said delivery data management unit includes an addition unit for adding conditions and information to said delivery data management table, said conditions and information including the region, quality, destination, and delivery method of the data decided to be supplied as a result of said negotiation.

19. A data warehouse system, comprising:

at least one client for accepting a processing request from a user;

at least one server provided with a database respectively and used for searching said database according to an access request from said client;

at least one data collector for collecting data requested by said user;

a database for storing data collected by said data collector; and

a network for connecting each client to its desired server via said data collector; wherein

said data collector includes;

a client management unit for managing at least one client;

a query analysis unit for analyzing a request from said client;

a query processing unit for processing a query analyzed in said query analysis unit;

a communication control unit for deciding a procedure for accessing at least one of said servers connected to said network according to the analysis result from said query analysis unit;

a replica creation request analysis unit for analyzing a replica creation request from said client; and

a replica creation management unit for creating a replica according to an analyzed creation request and managing said replica using a replica management table which corresponds the content of said created replica to the information related to the location of said replica stored in said database; and

said server includes;

a communication control unit for receiving a query analysis result transmitted from at least one of a plurality of said data collectors through said network;

a query processing unit for searching a database at said server side and create a response to a received query analysis result; and

means for controlling so that at least either said server or said data collector can select whether to delivery data under the control of said server or said data collector.

20. A data warehouse system, comprising:

at least one client for accepting a processing request from a user;

at least one server provided with a database respectively and used for searching said database according to an access request from said client;

at least one data collector for collecting data requested by said user;

a database for storing data collected by said data collector; and

a network for connecting each client to its desired server via said data collector; wherein

said data collector includes;

a client management unit for managing at least one client;

a query analysis unit for analyzing a request from said client;

a communication control unit for deciding a procedure for accessing at least one of said servers connected to said network according to an analysis result from said query analysis unit;

a replica creation request analysis unit for analyzing a replica creation request from said client; and

a replica creation management unit for creating a replica according to an analyzed creation request and managing said replica using a replica management table which corresponds the content of said created replica to the information related to the location of said replica stored in said database; and

said query analysis unit includes;

a processing location decision unit for deciding a data collector which processes said query using said replica management table;

a replica usability decision unit for deciding whether or not a query can be processed using a replica stored in a data collector which should process said query;

a processing unit for directing said query processing unit for a query processing using said replica without transferring any query to said server if said query

processing is decided possible using said replica in said data collector; and

a transfer unit for transferring said query to said server if said query processing is decided impossible using said replica stored in said data collector.

21. A data warehouse system in accordance with claim 20; wherein

said processing location decision unit includes;

a processing unit for deciding as a result of said query analysis unit whether or not the same value is taken in both said query and said replica or one of them includes the other; and

a processing unit for deciding said position using said relationship of the same value or inclusion and said replica management table.

22. A data warehouse system in accordance with claim 20; wherein

said processing location decision unit includes;

a processing unit for deciding as a result of said query analysis unit whether or not the same value is taken in both said query and said replica or one of them includes the other;

a processing unit for judging whether or not the same value is taken in both said query and said replica or one of them includes the other;

004374 10599

a processing unit for deciding said data collector in which said replica exists as a location to process said query if said relationship is decided "yes"; and

a processing unit for deciding said server as said location to process said query if said relationship is decided "no".

23. A data warehouse system in accordance with claim 21, wherein

said processing unit for deciding that the same value is taken in both said query and said replica or one of them includes the other includes;

a unit for deciding that the same value is taken in both using the Query Equivalent Decision Method; and

a unit for deciding that one of them includes the other using the Query Containment Decision Method.

24. A data warehouse system, comprising:

at least one client for accepting a processing request from a user;

at least one server provided with a database respectively and used for searching said database according to an access request from said client;

at least one data collector for collecting data requested by said user;

a database for storing data collected by said data collector; and

a network for connecting each client to its desired server via said data collector; wherein

said data collector includes;

a client management unit for managing at least one client;

a query analysis unit for analyzing a request from said client;

a communication control unit for deciding a procedure for accessing at least one of a plurality of said servers connected to said network according to the analysis result from said query analysis unit;

a replica creation request analysis unit for analyzing a replica creation request from said client; and

a replica creation management unit for creating a replica according to an analyzed creation request and managing said replica so that said replica can be shared among said cooperative data collectors when in processing said query using a replica management table which corresponds the content of said created replica to the information related to the location of said replica stored in said database; and

said server includes;

a communication control unit for receiving a query analysis result transmitted from at least one of said cooperative data collectors through said network; and



a query processing unit for searching a database at said server side and creating a response to a received query analysis result.

25. A data warehouse system in accordance with claim 9; wherein

said replica creation request analysis unit includes;

a processing unit for handling data in said data collector as the latest data at the current time if said replica creation request includes a condition for specifying freshness of data and the difference between the updating time when data is updated in said server and the current time is small.

26. A data collector used for a data warehouse, comprising:

a client management unit for managing at least one client and collecting data requested by said client;

a query analysis unit for analyzing each query request from said client;

a replica creation request analysis unit for analyzing a replica creation request included in said analyzed query request;

a database for storing each replica created according to an analyzed creation request; and

a replica creation management unit for creating a replica according to an analyzed creation request and

managing said replica using a replica management table which corresponds the content of a created replica to the information related to the storing location of said replica in said database.

27. A data collector which uses a general-purpose database management system for said replica database in accordance with claim 26.

28. A method for collecting data used by a data warehouse, comprising;

a client management step for managing at least one client and collecting data requested by said client;

a query analyzing step for analyzing each query request from said client;

a replica creation request analyzing step for analyzing a replica creation request included in said query request;

a replica database storing step for storing a replica created according to said analyzed creation request; and

a replica creation managing step for creating a replica according to said analyzed creation request and managing said replica using a replica management table which corresponds the content of said created replica to the information related to the location of a replica stored in said replica database.

29. A method for collecting data used by a data warehouse, comprising;

a client management step for managing at least one client and collecting data requested by said client;

a query analyzing step for analyzing each query request from said client;

a replica creation request analyzing step for analyzing a replica creation request included in said query request;

a replica creation, managing step for creating a replica according to said analyzed creation request and managing said replica using a replica management table which corresponds the content of said created replica to the information related to the location of a replica stored in said replica database; and

a query processing step for processing said analyzed query request using a replica managed by said replica management table.

30. A recording medium for recording a computer program used to execute said query processing method using a computer in according with claim 28 or 29.

31. A data warehouse system, comprising:

at least one client for accepting a processing request from a user;

at least one server provided with a database respectively and used for searching said database according to an access request from said client;

a network for connecting each client to its desired server; and

at least one data collector connected to said network and used for collecting data requested by said user;

a database for storing data collected by said data collector; wherein

said data collector includes;

a client management unit for managing at least one client;

a query analysis unit for analyzing a request from said client;

a communication control unit for deciding a procedure for accessing at least one of a plurality of said servers connected to said network according to an analysis result from said query analysis unit;

a replica creation request analysis unit for analyzing a replica creation request from said client; and

a replica creation management unit for creating a replica according to an analyzed creation request and managing said replica using a replica management table which corresponds the content of said created replica to the

information related to the location of said replica stored in said database; and

said server includes;

a communication control unit for receiving a query analysis result transmitted from at least one of a plurality of said data collectors through said network; and

a query processing unit for searching a database at said server side and creating a response to a received query analysis result.

32. A data warehouse system in accordance with claim 31; wherein

said replica creation management unit includes a program creation unit for creating a program for receiving each replica creation request from said client by combining software parts received through said network.

33. An accounting system used in a data warehouse, comprising;

a client managing unit for managing at least one client and collecting data requested from said client;

a replica creation request analysis unit for analyzing a replica creation request from said client; and

a replica creation management unit for creating a replica according to an analyzed creation request and managing said replica using a replica management table which corresponds the content of a created replica to the

information related to the location of said replica stored in a database; wherein

said replica creation management unit includes;

a data collector side negotiation unit for negotiating with said server according to said replica creation request and computer resources usable by said data collector and by said server, thereby deciding data for which a replica is to be created, as well as a charge for said data; and

a server side negotiation unit for negotiating with said data collector for replica creation conditions including said charge according to computer resources usable by said server.

34. A method accounting used in a data warehouse, comprising;

a client managing step for managing at least one client and collecting data requested from said client;

a data collector side negotiating step for deciding data for which a replica is to be created, as well as a charge for said data through negotiation with said server according to said replica creation request and computer resources usable by both of said data collector and said server using a replica creation request analysis unit for analyzing a replica creation request from said client and a replica management table which corresponds the content of said

1000

1000

### Abstract of the Disclosure

In order to realize a practical data warehouse system with the use of a network in a distributed environment, for example, data collectors (101 and 102) for creating/managing each replica of server data for clients (103 and 104) as shown in Fig.1 are connected to a network (113). A data collector holds a data request condition given from a user via a client and negotiates with a server (114, 122) which supplies data according to such resources as a storage unit, a CPU, etc. usable by the data collector, thereby the range and quality of the data for which a replica is to be created can be adjusted. For a query processing, part or the whole of a query from a client is processed with the use of a replica (123) created in the data collector. Queries which cannot be processed with any replica in the data collector are transferred to the server.

Because a replica created in the data collector can be used to process a query from a client such way, the server load can be reduced.



Fig. 1

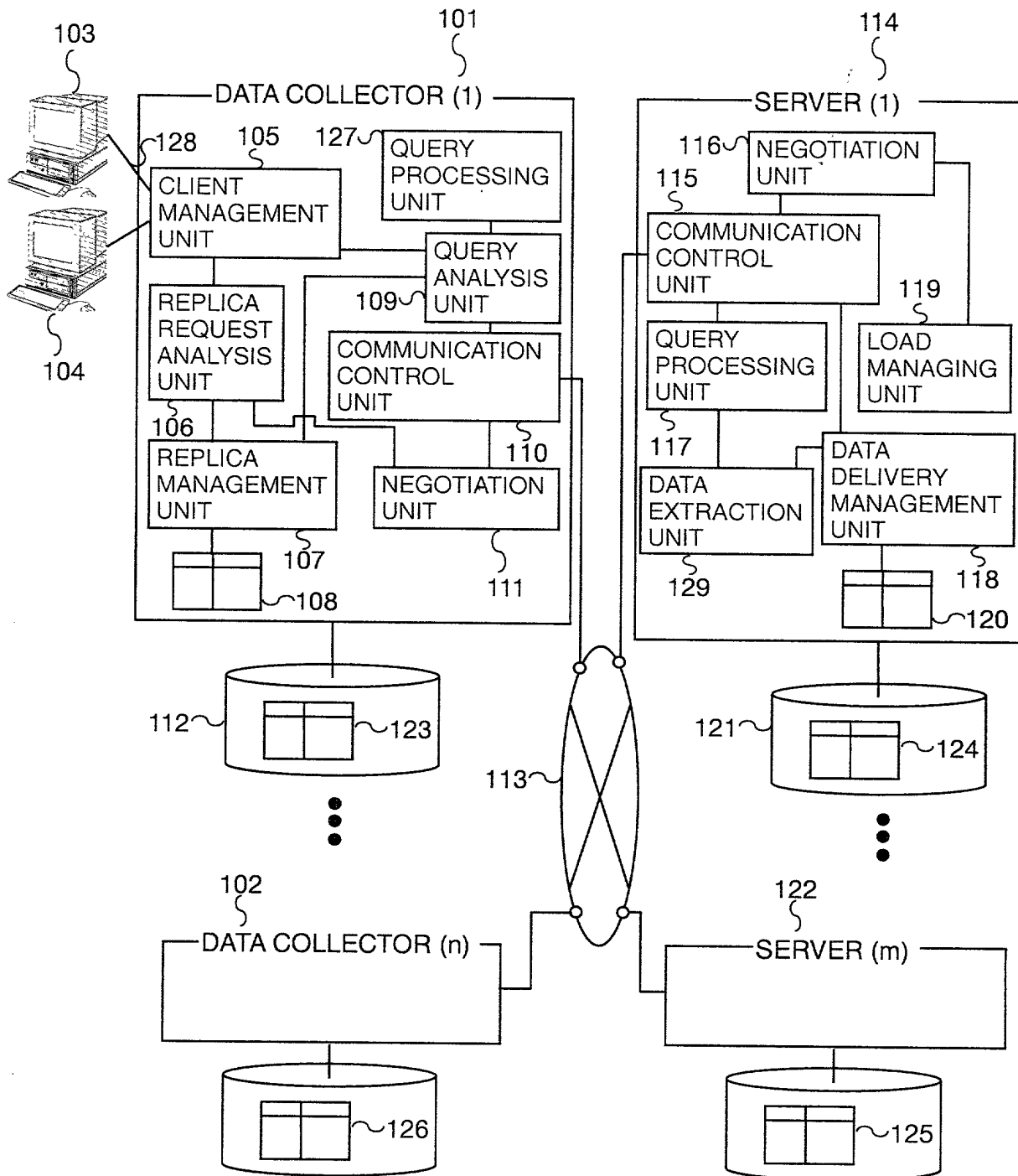


Fig. 2

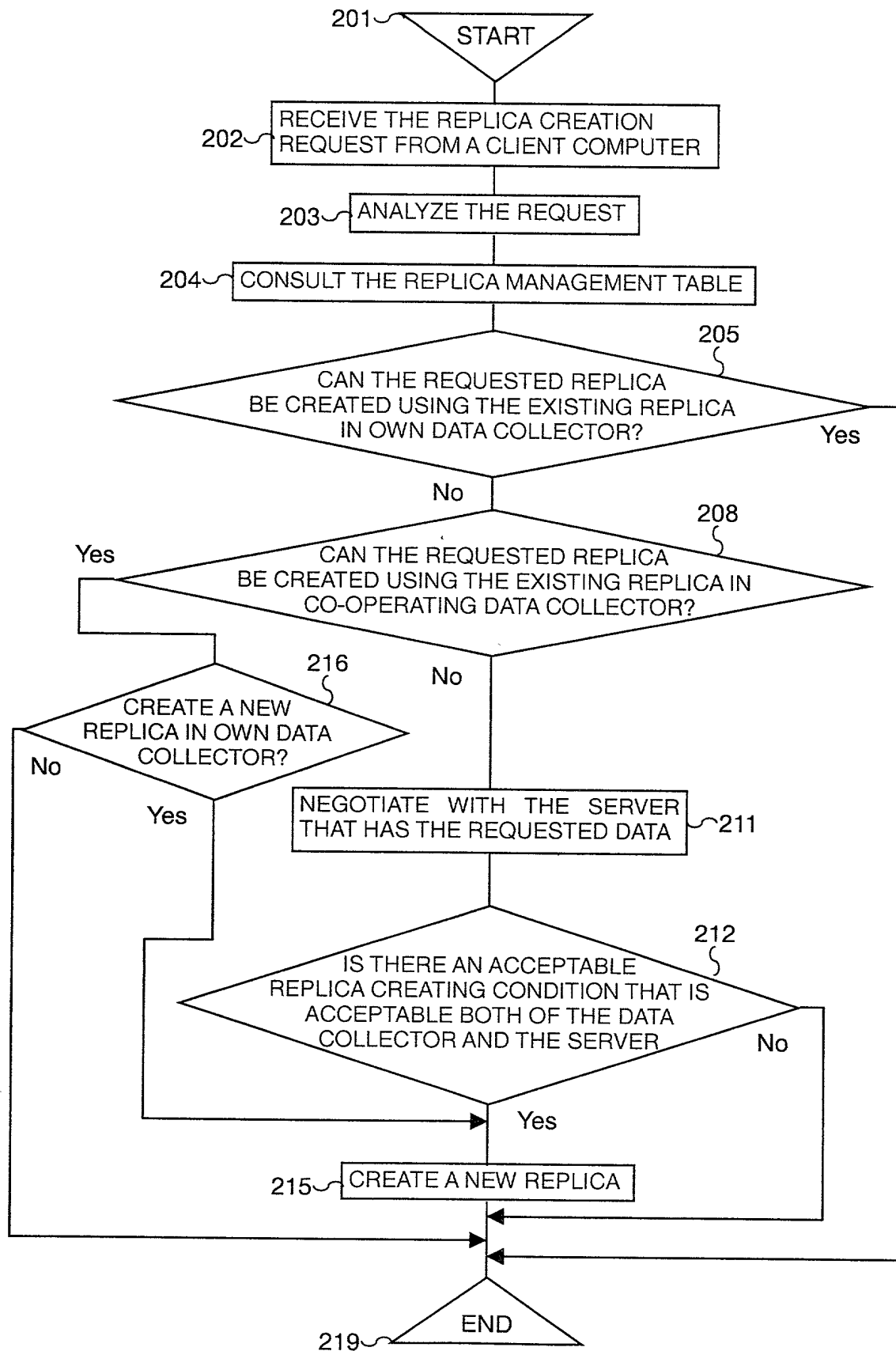


Fig. 3

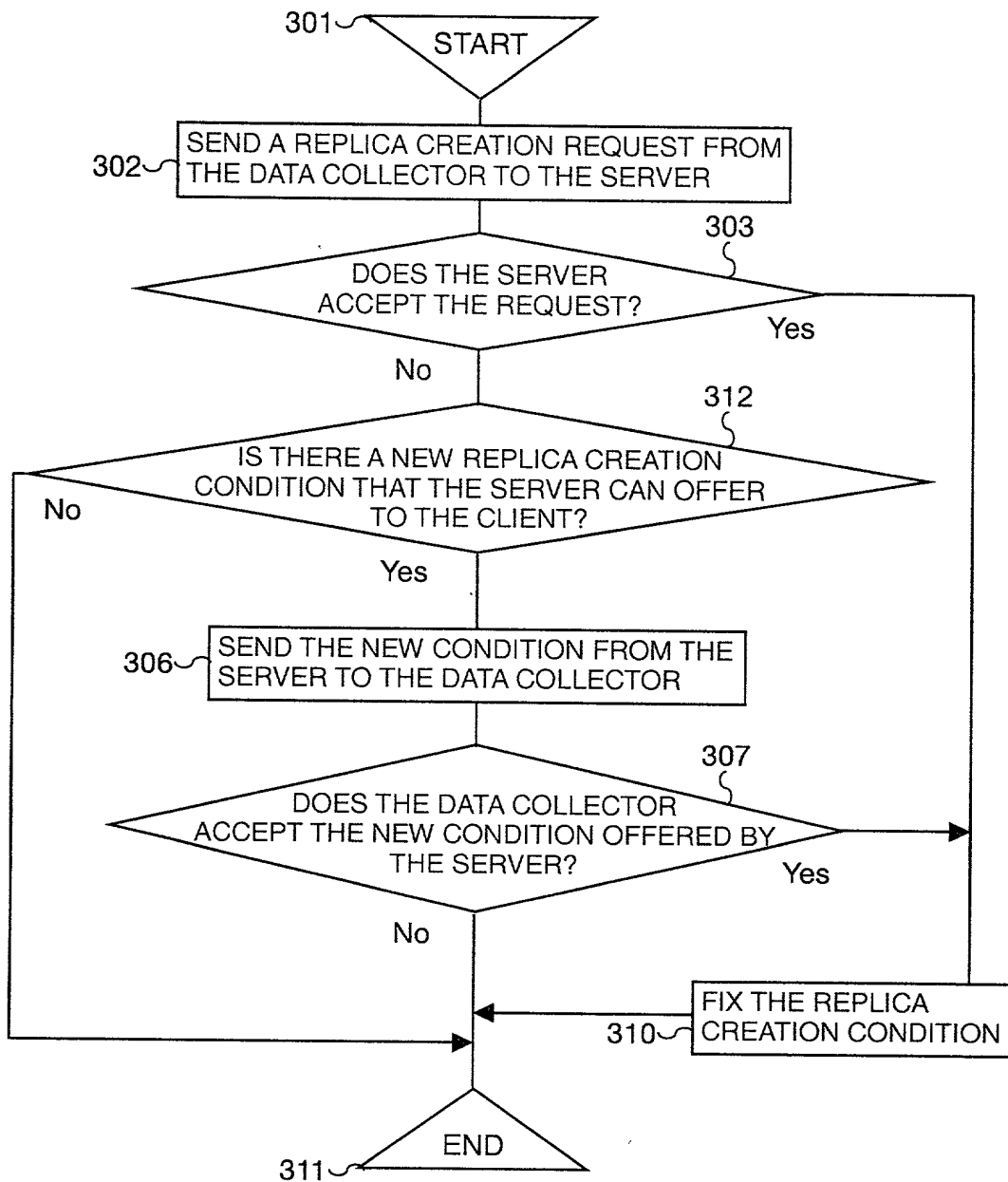


Fig. 4

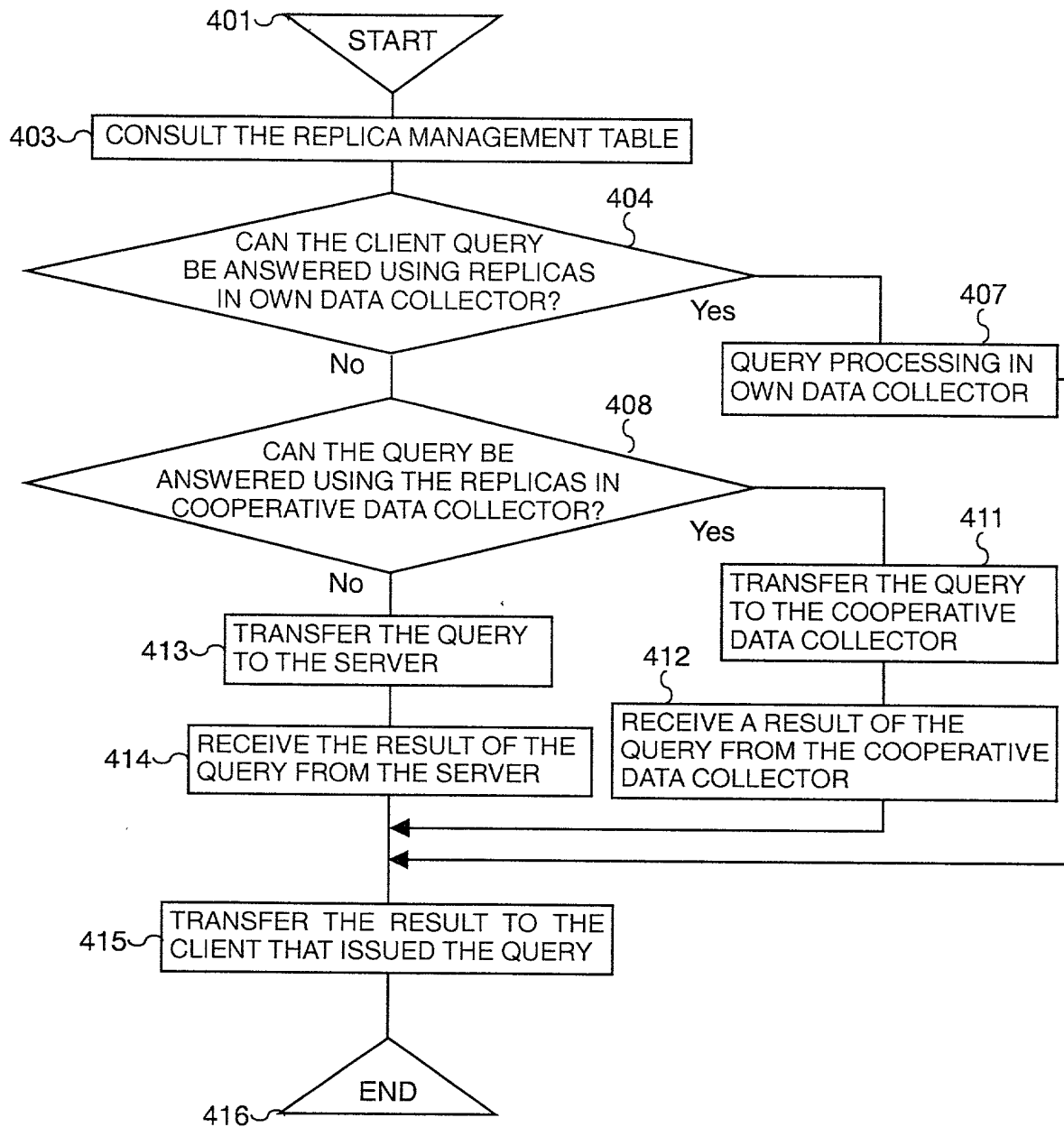


Fig. 5

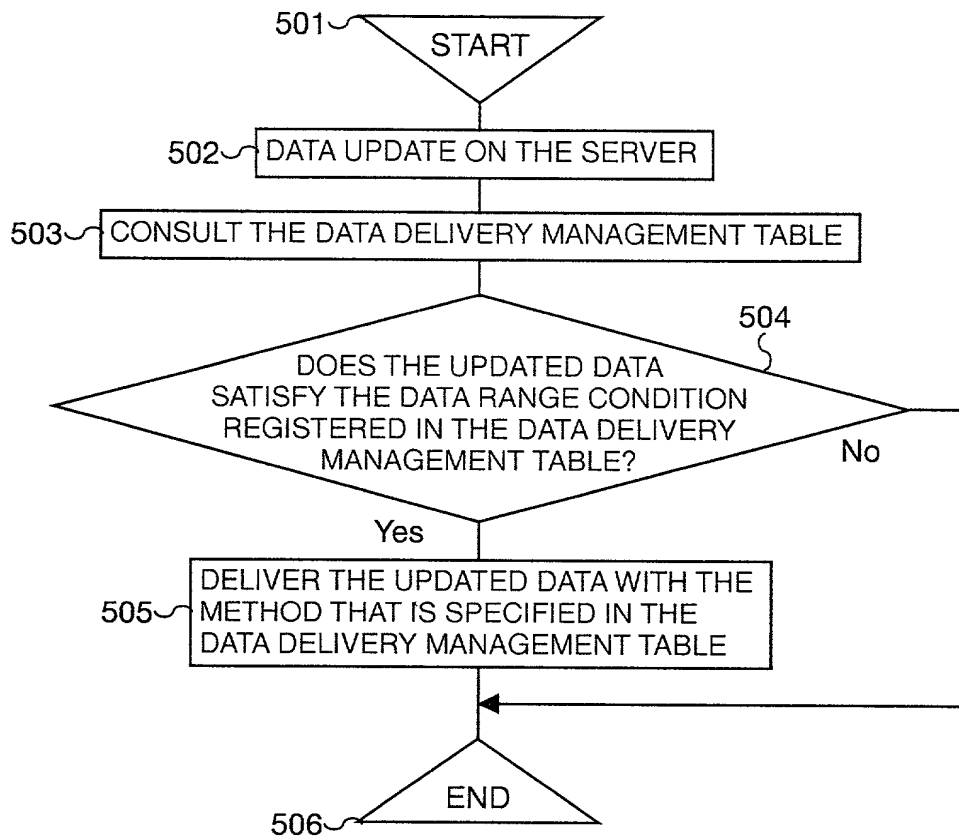


Fig. 6

REPLICA CREATION REQUEST

601 DATA RANGE	603 DATA QUALITY	604 DELIVERY METHOD
ORDER(ORDER_ID, PRICE, CUSTOMER_ID) PRICE>=10000	-	13:00, PUSH
ORDER(ORDER_ID, PRICE, CUSTOMER_ID), 5000<=PRICE<=8000	FRESH(ORDER, 1 HOUR) 605	{1:00, 13:00}, PULL
ORDER(ORDER_ID, PRICE, CUSTOMER_ID), PRICE<=2000	SAMPLE(ORDER, ORDER_ID, 10%) 606	ONCE BETWEEN 21:00 AND 23:00, PULL
SALESDetail(ORDER_ID, SHIPDATE, ORDER_AMOUNT), SHIPDATE>=1990/01/01	TOP-N(SALESDetail, ORDER_AMOUNT, 100) 607	ONCE PER 1 HOUR, PULL
...	...	...

Fig. 7

DATA TYPE	DATA QUALITY ADJUSTMENT METHOD
RELATIONAL DATABASE RECORD	RECORD SAMPLING, COLUMN PROJECTION
DOCUMENT	KEYWORD EXTRACTION, SUMMARY CREATION
IMAGE	IMAGE COMPRESSION, IMAGE FORMAT CONVERSION, EXTRACT OUTLINE, REDUCE THE NUMBER OF COLORS, REDUCE RESOLUTION, MAKE IMAGE SIZE SMALLER
MOVIE	REDUCE FRAME RATE, IMAGE COMPRESSION IN A FRAME
SOUND	CHANGE SAMPLING RATE, CONVERT TO CHARACTER INFORMATION

Fig. 8

REPLICA MANAGEMENT

803 REPLICA DESCRIPTION		802 DATA QUALITY	804 REPLICA LOCATION	805 SERVER LOCATION	806 DELIVERY METHOD
DATA RANGE 801					
ORDER(ORDER_ID, PRICE, CUSTOMER_ID), PRICE>=10000	-		DATA COLLECTOR (1)	SERVER (1)	13:00, PUSH
ORDER(ORDER_ID, PRICE, CUSTOMER_ID), PRICE>=10000	807 - 808		DATA COLLECTOR (3)	SERVER (1)	{1:00, 13:00}, PULL
ORDER(ORDER_ID, PRICE), PRICE<=3000	-		DATA COLLECTOR (2)	SERVER (2)	12:00, PUSH
ORDER(ORDER_ID, PRICE), 3000<=PRICE<=5000	SAMPLE(ORDER, ORDER_ID, 10%)		DATA COLLECTOR (2)	SERVER (2)	ONCE PER 2 HOURS, PULL

Fig. 9

DELIVERY DATA MANAGEMENT TABLE

901 DATA RANGE	902 DATA QUALITY	903 DELIVERY DESTINATION	904 DELIVERY METHOD	
ORDER(ORDER_ID, PRICE, CUSTOMER_ID), PRICE>=10000	-	DATA COLLECTOR (1)	13:00, PUSH	905
ORDER(ORDER_ID, PRICE, CUSTOMER_ID), PRICE>=10000	-	DATA COLLECTOR (3)	{1:00, 13:00}, PUSH	906
ORDER(ORDER_ID, PRICE, CUSTOMER_ID), PRICE>=50000	TOP-N(ORDER, PRICE, 10)	DATA COLLECTOR (7)	ONCE PER 1 HOUR, PULL	
...	...		...	

INSERT DATA

(ORDER\_ID, PRICE, CUSTOMER\_ID) = (10005, 12500, 256) ~907

Fig. 10

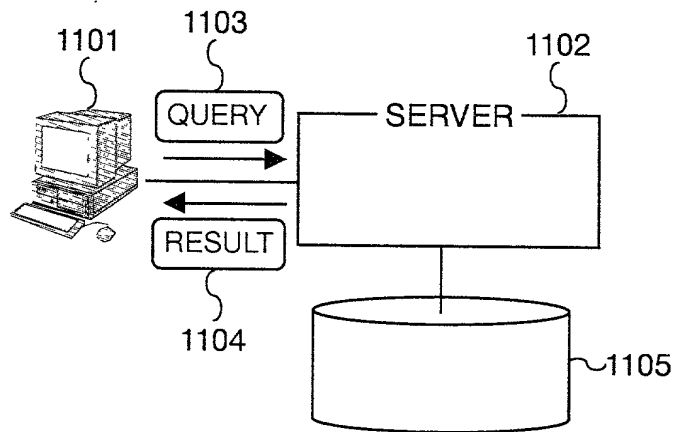
(A) DELIVERY CREATION REQUEST

DATA RANGE	DATA QUALITY	DELIVERY METHOD	
ORDER(ORDER_ID, PRICE, CUSTOMER_ID) PRICE>=10000	-	13:00, PUSH	1001
ORDER(ORDER_ID, PRICE, CUSTOMER_ID), 5000<=PRICE<=8000	FRESH(ORDER, 1 HOUR)	{1:00, 13:00}, PUSH	
SALESDetail(ORDER_ID, SHIPDATE, ORDER_AMOUNT), SHIPDATE>=1990/01/01	-	ONCE PER 1 HOUR, PULL	1002
...	...	...	

(B) SERVER REPLY

SERVER REPLY	DATA RANGE	DATA QUALITY	DELIVERY METHOD	
ACCEPT	-	-	13:00, PUSH	1003
ACCEPT	-	-	{1:00, 13:00}, PUSH	
CONDITIONALLY ACCEPT	SALESDetail(ORDER_ID, SHIPDATE, ORDER_AMOUNT), SHIPDATE>=1994/01/01	-	ONCE PER 2 HOURS, PULL	1004
...	...	...	...	

*Fig. 11*



*Fig. 12*

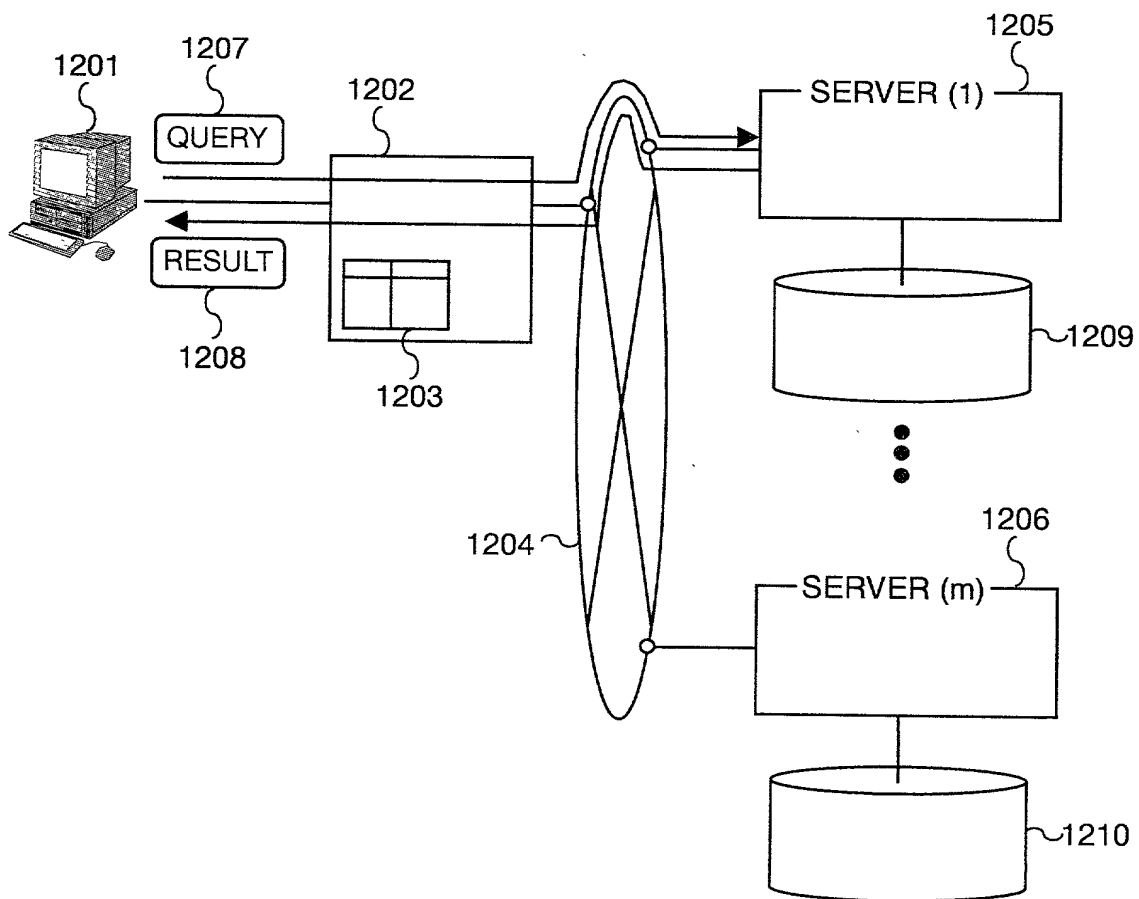




Fig. 13

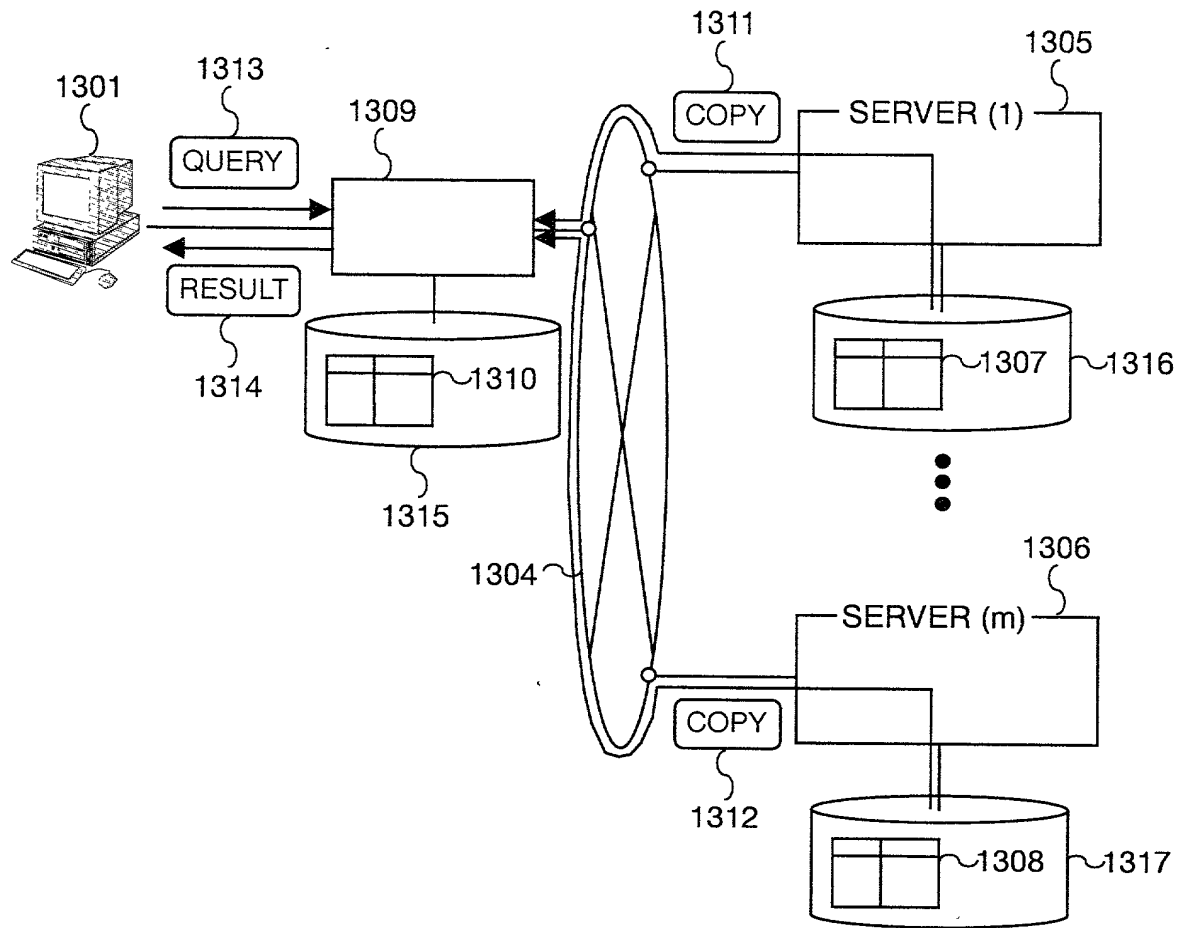


Fig. 14

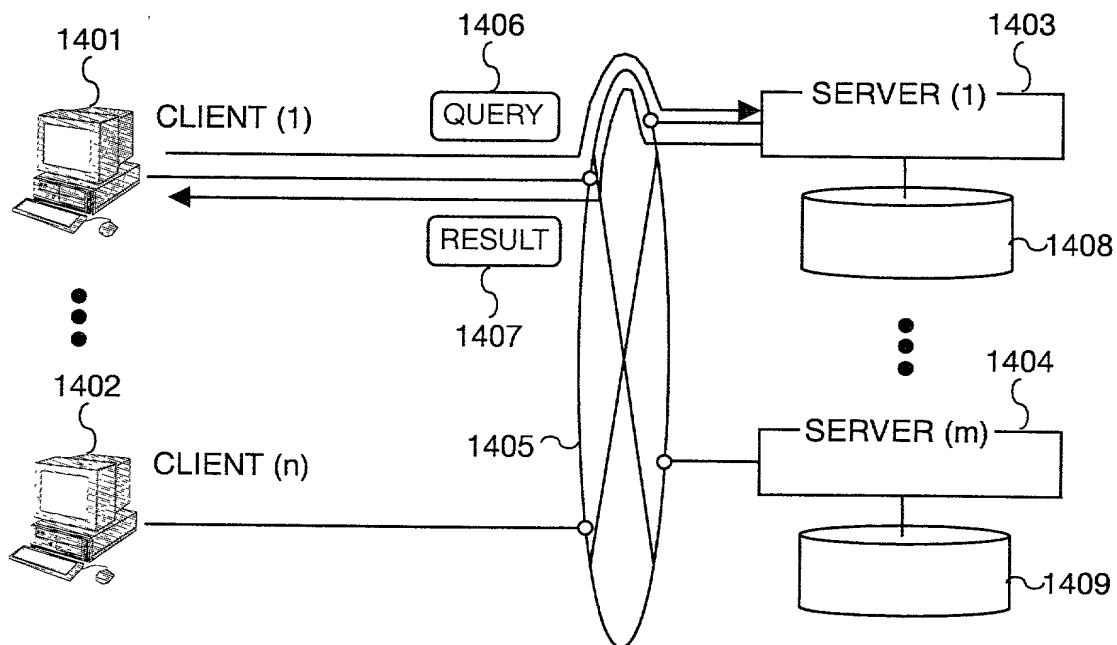


Fig. 15

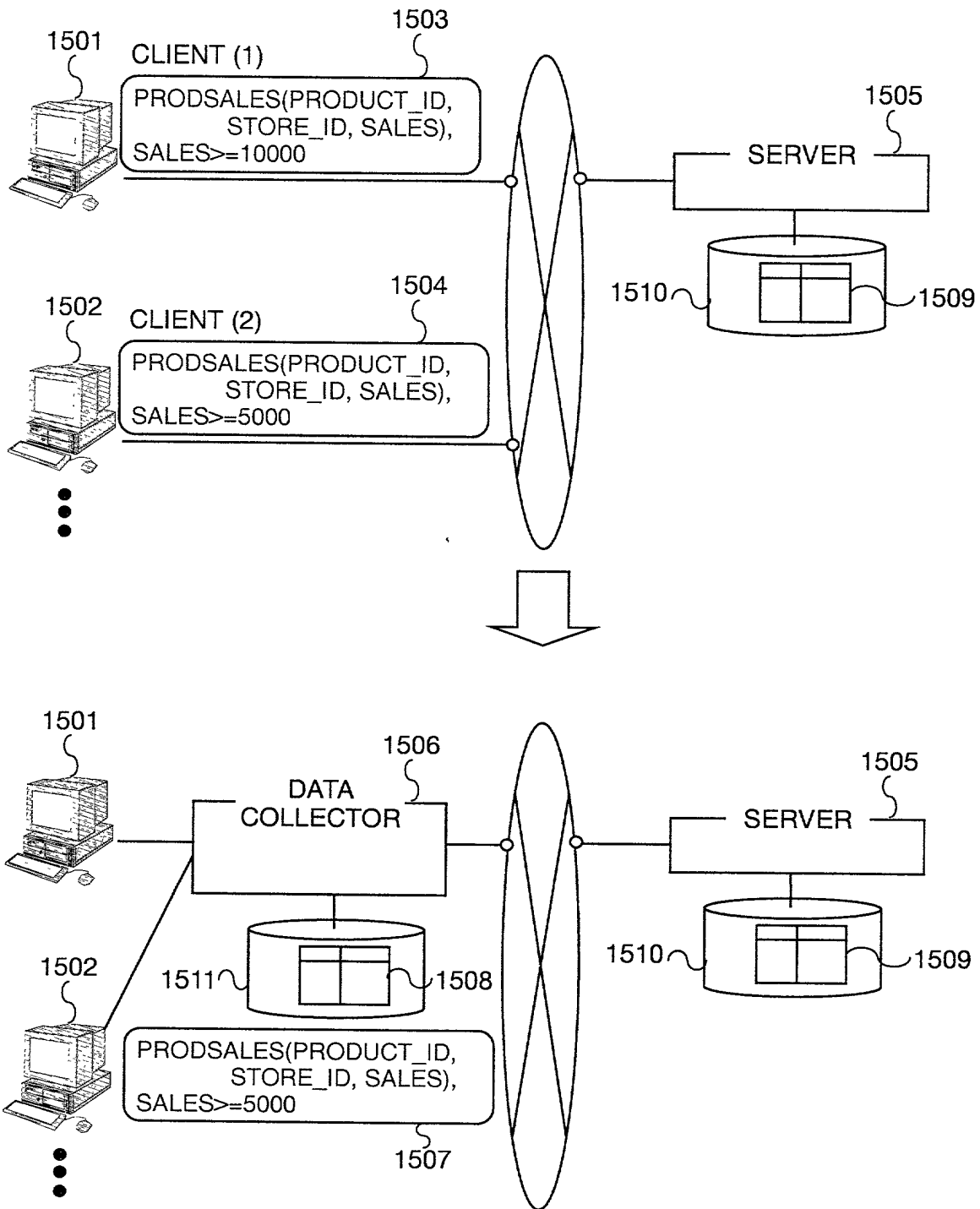


Fig. 16

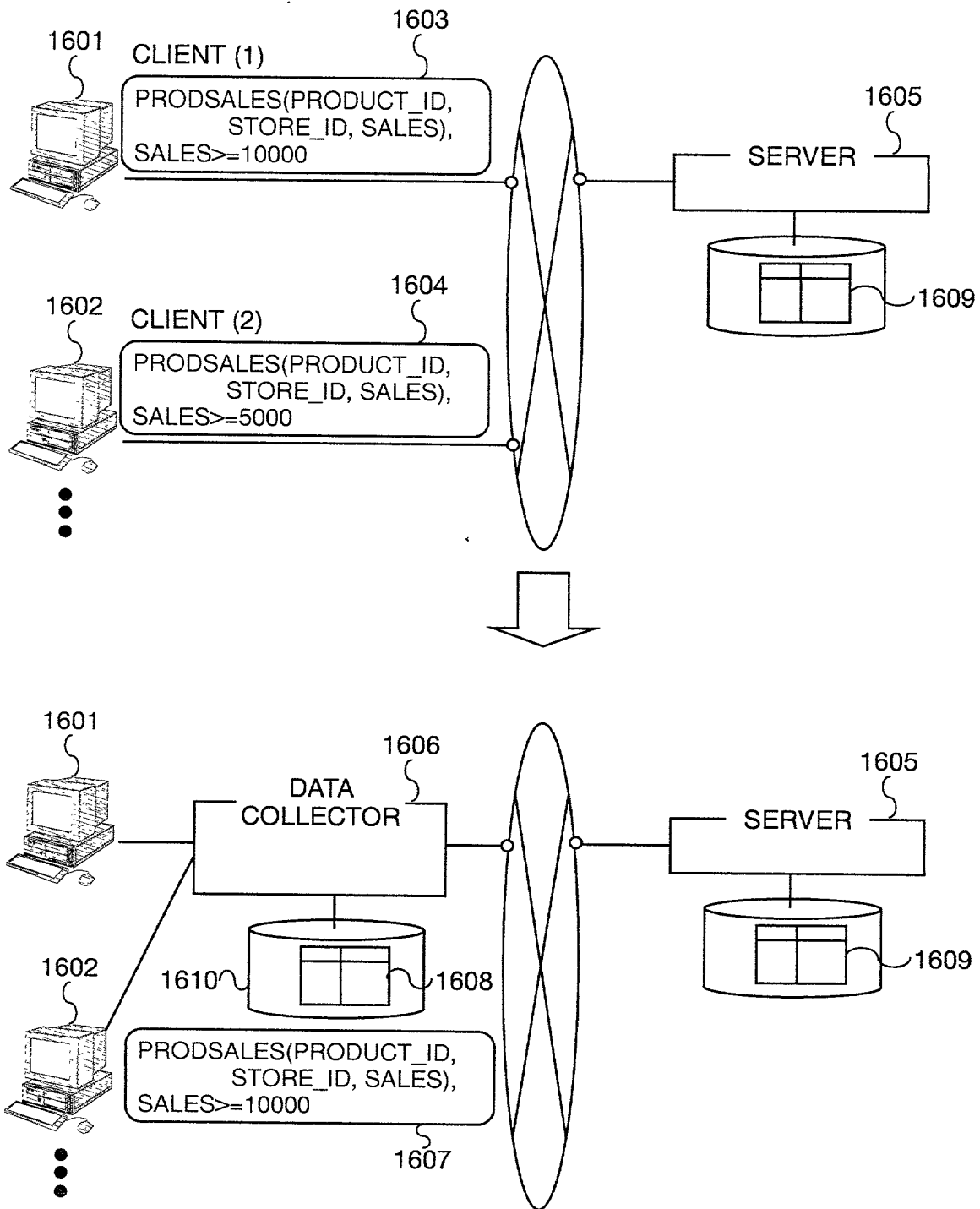
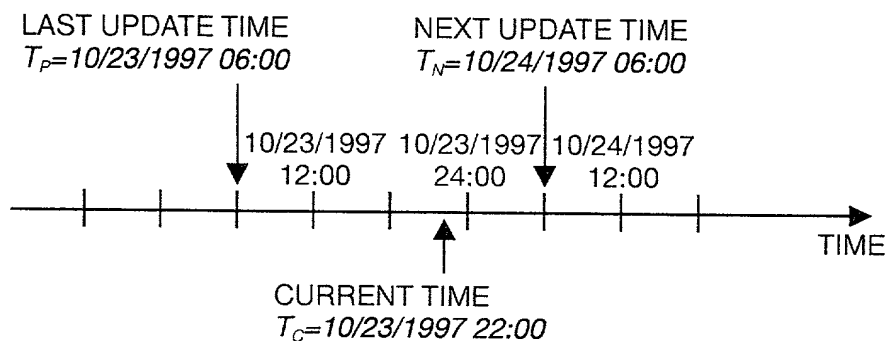


Fig. 17

# DATA UPDATE FREQUENCY REDUCTION USING DATA FRESHNESS CONDITION

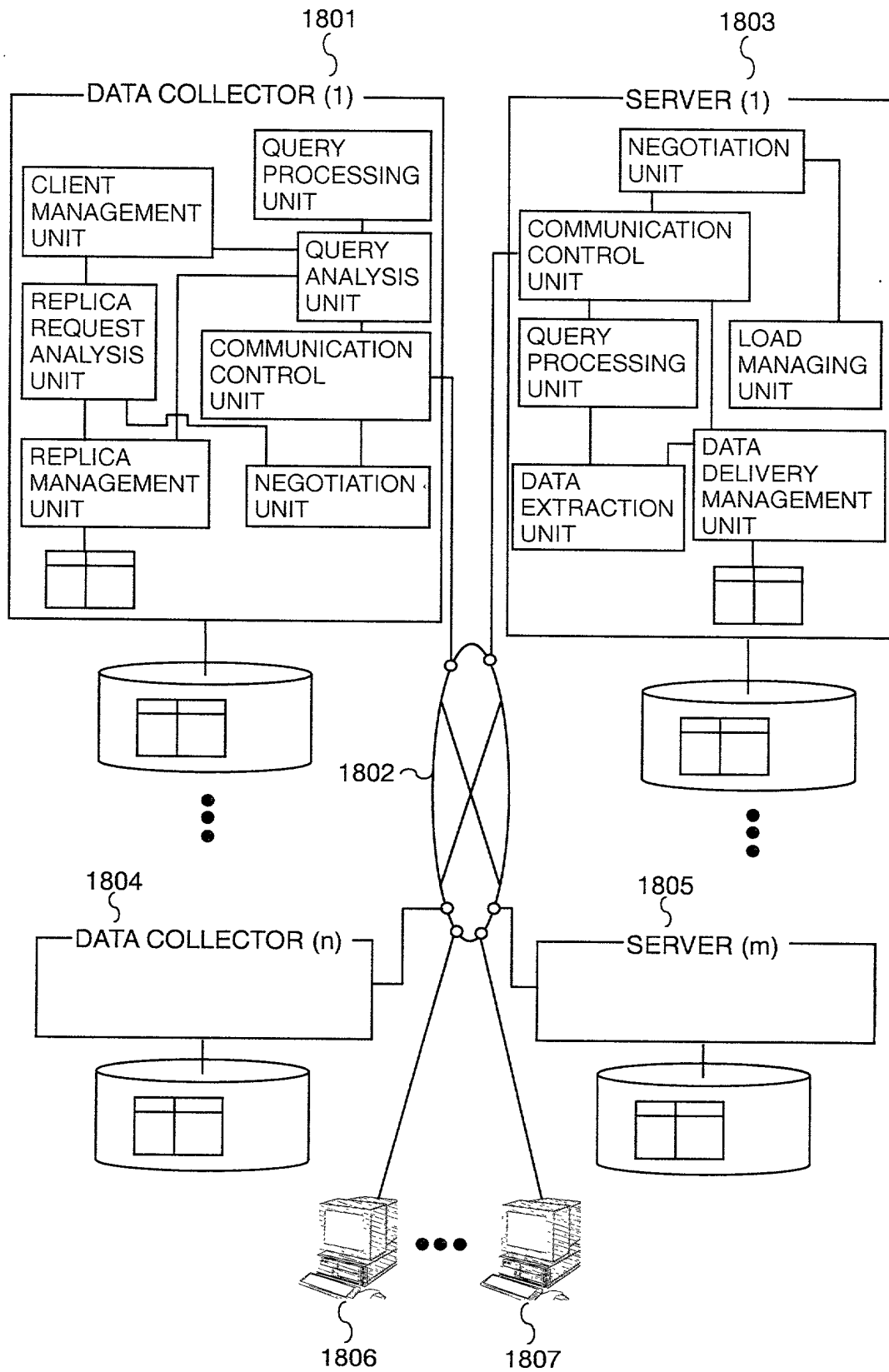


DATA FRESHNESS CONDITION:  $T_F = 1\ \text{day}$   
 $d_A(T_C) = d_A(T_P) \quad (T_C - T_P < T_F)$

Fig. 19

DATA RANGE	DATA QUALITY	DELIVERY METHOD	
ORDER(ORDER_ID, PRICE, CUSTOMER_ID) PRICE $\geq$ 20000	-	ONCE BETWEEN 11:00 AND 15:00, PUSH	1901
ORDER(ORDER_ID, PRICE, CUSTOMER_ID), PRICE $\leq$ 2000	-	ONCE PER 1 DAY, PUSH	1902
ORDER(ORDER_ID, PRICE, CUSTOMER_ID), PRICE $\leq$ 2000	SAMPLE(ORDER, ORDER_ID, 10%)	ONCE BETWEEN 21:00 AND 23:00, PULL	
SALESDetail(ORDER_ID, SHIPDATE, ORDER_AMOUNT), SHIPDATE $\geq$ 1990/01/01	TOP-N(SALESDetail, ORDER_AMOUNT, 100)	ONCE PER 1 HOUR, PULL	
...	...	...	

Fig. 18



## Declaration and Power of Attorney For Patent Application

## 特許出願宣言書及び委任状

## Japanese Language Declaration

## 日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

DATA WAREHOUSE SYSTEM AND QUERY PROCESSING  
METHOD USED IN THE SYSTEM AND DATA COLLECTING  
METHOD AND APPARATUS FOR THE METHOD, AND  
CHARGING METHOD AND APPARATUS IN THE SYSTEM

上記発明の明細書（下記の欄で×印がついていない場合は、本書に添付）は、

The specification of which is attached hereto unless the following box is checked.

☐ 月 日に提出され、米国出願番号または特許協定条約  
国際出願番号を \_\_\_\_\_ とし、  
(該当する場合) \_\_\_\_\_ に訂正されました。

☐ was filed on \_\_\_\_\_  
as United States Application Number or  
PCT International Application Number  
\_\_\_\_\_ and was amended on \_\_\_\_\_  
(if applicable)

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above

私は、連邦規則法典第37編第1条56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56

## Japanese Language Declaration (日本語宣言書)

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国以外の国の少なくとも一カ国を指定している特許協力条約365(a)項に基づき国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示している。

### Prior Foreign Application(s)

外国での先行出願

10-320203  
(Number)  
(番号)

Japan  
(Country)  
(国名)

11/November/1998  
(Day/Month/Year Filed)  
(出願年月日)

Priority Not Claimed  
優先権主張なし

☐

(Number)  
(番号)

(Country)  
(国名)

(Day/Month/Year Filed)  
(出願年月日)

☐

私は、第35編米国法典119条(e)項に基づいて下記の米国特許出願規定に記載された権利をここに主張いたします。

I hereby claim the benefit under Title 35, United States Code Section 119(e) of any United States provisional application(s) listed below

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

私は、下記の米国法典第35編120条に基づいて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約365条(c)に基づき権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of application

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

(Status: Patented, Pending, Abandoned)  
(現況: 特許許可済、係属中、放棄済)

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

(Status: Patented, Pending, Abandoned)  
(現況: 特許許可済、係属中、放棄済)

私は、私自身の知識に基づいて本宣言書で私が行なう表明が真実であり、かつ私の入手した情報と私の信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行なえば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

## Japanese Language Declaration (日本語宣言書)

委任状： 私は下記の発明者として、本出願に関する一切の手続きを米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。(弁護士、または代理人の氏名及び登録番号を明記のこと)

POWER OF ATTORNEY. As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

Donald R. Antonelli, Reg No 20,296; David T. Terry, Reg No 20,178, Melvin Kraus, Reg. No. 22,466; William I. Solomon, Reg No 28,565; Gregory E. Montone, Reg No 28,141, Ronald J. Shore, Reg No. 28,577; Donald E. Stout, Reg No. 26,422; Alan E. Schiavelli, Reg No 32,087, James N. Dresser, Reg No 22,973 and Carl I. Brundidge, Reg No. 29,621

## 書類送付先

Send Correspondence to

Antonelli, Terry, Stout & Kraus, LLP  
Suite 1800  
1300 North Seventeenth Street  
Arlington, Virginia 22209

## 直接電話連絡先：(氏名及び電話番号)

Direct Telephone Calls to (name and telephone number)

Telephone. (703) 312-6600  
Fax (703) 312-6666

## 唯一または第一発明者

## Full name of sole or first inventor

Itaru NISHIZAWA

## 発明者の署名

日付

## Inventor's signature

Date

*Itaru Nishizawa*

9/30/1999

## 住所

## Residence

Kodaira, Japan

## 国籍

## Citizenship

Japan

## 私書箱

## Post Office Address

c/o Hitachi, Ltd., Intellectual Property Group  
New Marunouchi Bldg. 5-1, Marunouchi 1-chome,  
Chiyoda-ku, Tokyo 100-8220, Japan

(第二以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for second and subsequent joint inventors.)



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

第二共同発明者	Full name of second joint inventor, if any Shinji FUJIWARA	
第二共同発明者の署名	日付	Second inventor's signature Date <i>Shinji Fujiwara</i> 9/30/1999
住所	Residence Sagamihara, Japan	
国籍	Citizenship Japan	
私書箱	Post Office Address c/o Hitachi, Ltd., Intellectual Property Group New Marunouchi Bldg 5-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-8220, Japan	
第三共同発明者	Full name of third joint inventor, if any Kazutomo USHIJIMA	
第三共同発明者の署名	日付	Third inventor's signature Date <i>Kazutomo Ushijima</i> 10/05/1999
住所	Residence Kokubunji, Japan	
国籍	Citizenship Japan	
私書箱	Post Office Address c/o Hitachi, Ltd., Intellectual Property Group New Marunouchi Bldg. 5-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-8220, Japan	
第四共同発明者	Full name of fourth joint inventor, if any Shigekazu INOHARA	
第四共同発明者の署名	日付	Fourth inventor's signature Date <i>Shigekazu Inohara</i> 9/30/1999
住所	Residence Kokubunji, Japan	
国籍	Citizenship Japan	
私書箱	Post Office Address c/o Hitachi, Ltd., Intellectual Property Group New Marunouchi Bldg. 5-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-8220, Japan	
第五共同発明者	Full name of fifth joint inventor, if any	
第五共同発明者の署名	日付	Fifth inventor's signature Date
住所	Residence	
国籍	Citizenship	
私書箱	Post Office Address	